

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 92073 / May 28, 2021

Admin. Proc. File No. 3-19861

In the Matter of  
  
SPOTLIGHT INNOVATION, INC., AND  
TEMPUS APPLIED SOLUTIONS HOLDINGS, INC.

ORDER DISCHARGING ORDER TO SHOW CAUSE AS TO SPOTLIGHT INNOVATION,  
INC., AND ORDERING SPOTLIGHT INNOVATION, INC., TO FILE AN ANSWER

The Securities and Exchange Commission (“Commission”) issued an Order Instituting Proceedings (“OIP”) on July 8, 2020, pursuant to Section 12(j) of the Securities Exchange Act of 1934, against respondent Spotlight Innovation, Inc. (“Respondent”).<sup>1</sup>

On September 28, 2020, the Division of Enforcement filed the Declaration of Gina Joyce, which stated that, pursuant to Commission Rule of Practice 141(a)(2)(ii),<sup>2</sup> service of the OIP was made on Respondent on August 10, 2020.

As stated in the OIP, Respondent’s answer was required to be filed within ten days of the service of the OIP.<sup>3</sup> Respondent failed to file an answer and was ordered to show cause why it should not be deemed to be in default and the registration of its securities revoked.<sup>4</sup>

On April 13, 2021, Respondent filed an Opposition to Order to Show Cause as to Spotlight Innovation, Inc. Respondent acknowledged that “the deadline [for filing an answer

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<sup>1</sup> *Spotlight Innovation, Inc.*, Exchange Act Release No. 89253, 2020 WL 3883271 (July 8, 2020). The OIP also instituted proceedings against Tempus Applied Solutions Holdings, Inc., which subsequently had the registration of its securities revoked pursuant to a settlement. *Spotlight Innovation, Inc.*, Exchange Act Release No. 89429, 2020 WL 4391623 (July 30, 2020).

<sup>2</sup> 17 C.F.R. § 201.141(a)(2)(ii).

<sup>3</sup> *Spotlight Innovation*, 2020 WL 3883271, at \*2; Rules of Practice 151(a), 160(b), 220(b), 17 C.F.R. §§ 201.151(a), 201.160(b), 201.220(b).

<sup>4</sup> *Spotlight Innovation, Inc.*, Exchange Act Release No. 91440, 2021 WL 1200051 (Mar. 31, 2021).

specified in the OIP] passed without Spotlight filing its Answer and no Answer has been filed to date.” Respondent claimed that it “has undergone substantial changes in management since the original deadline to file the Answer” and has a new Chief Executive Officer who “seeks the opportunity to bring Spotlight . . . back into compliance with its SEC filing obligations.” Respondent therefore requested, among other things, an extension of time to file its answer.<sup>5</sup>

Under the circumstances, it is appropriate to discharge the show cause order and direct Respondent to file an answer by June 28, 2021. If Respondent fails to file an answer as directed by this order, it may be deemed in default, the proceeding may be determined against it, and the registration of its securities may be revoked.<sup>6</sup>

The parties’ attention is called to the Commission’s March 18, 2020 order regarding the filing and service of papers, which provides that pending further order of the Commission parties to the extent possible shall submit all filings electronically at [apfilings@sec.gov](mailto:apfilings@sec.gov).<sup>7</sup> Also, the Commission’s Rules of Practice were recently amended to include new e-filing requirements, which took effect on April 12, 2021.<sup>8</sup>

Accordingly, IT IS ORDERED that Spotlight Innovation, Inc., file an answer to the Order Instituting Proceedings by June 28, 2021, as directed in this order.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>5</sup> Respondent additionally requests that the Commission: (i) not revoke the registration of its securities by default action; (ii) grant it an extension of time to file its periodic reports; and (iii) grant it access to the EDGAR filing system. These requests can be more appropriately addressed in subsequent proceedings assuming Respondent files its answer pursuant to this order.

<sup>6</sup> Rules of Practice 155(a), 220(f), 17 C.F.R. §§ 201.155(a), .220(f); *see also Spotlight Innovation*, 2020 WL 3883271, at \*2 (“If Respondent[] . . . fail[s] to file the directed Answer, . . . [it] may be deemed in default and the proceedings may be determined against [it] . . .”).

<sup>7</sup> *Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (Mar. 18, 2020), <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.

<sup>8</sup> *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020); *see also Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments also impose other obligations on parties to administrative proceedings such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. at 86,465–81.