

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 5438 / January 28, 2020

Admin. Proc. File No. 3-19371

In the Matter of

PATRICK L. O'CONNOR

ORDER REGARDING STATUS REPORTS

On January 9, 2020, we issued an order instructing the Division of Enforcement to file a status report, “by January 23, 2020, and every 28 days thereafter until service is accomplished,” concerning the service of the order instituting proceedings in this case.¹ On January 21, 2020, the Division filed a Motion for Default and Other Relief, which attached evidence that service of the OIP on O’Connor had been accomplished. On January 22, 2020, the Division filed its Status Report, in which it stated that, in light of service having been accomplished, “the Division would respectfully ask that it not be required to file additional status reports.”

Accordingly, IT IS ORDERED that the Division of Enforcement is not required to file any additional status reports regarding service in this proceeding.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

¹ *Patrick L. O’Connor*, Advisers Act Release No. 5430, 2020 WL 108479 (Jan. 9, 2020).