

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940  
Release No. 5430 / January 9, 2020

Admin. Proc. File No. 3-19371

In the Matter of  
  
PATRICK L. O'CONNOR

ORDER REGARDING SERVICE

On August 22, 2019, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Patrick L. O’Connor pursuant to Section 203(f) of the Investment Advisers Act of 1940.<sup>1</sup> It appears that there have been no other filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon O’Connor. Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by January 23, 2020, and every 28 days thereafter until service is accomplished.

The parties are reminded that to the extent possible an electronic courtesy copy of each filing should be emailed to [APFilings@sec.gov](mailto:APFilings@sec.gov) in PDF text-searchable format.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>1</sup> *Patrick L. O’Connor*, Advisers Act Release No. 5327, 2019 WL 3975598 (Aug. 22, 2019).