

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
November 9, 2020

SECURITIES EXCHANGE ACT OF 1934
Release No. 90380 / November 9, 2020

Admin. Proc. File No. 3-15755

In the Matter of

MARK FEATHERS

ORDER
GRANTING
PETITION
FOR REVIEW
AND SCHEDULING
BRIEFS

Pursuant to Commission Rule of Practice 411,¹ the petition of Mark Feathers for review of the administrative law judge's initial decision² is granted. Pursuant to Rule of Practice 411(d),³ the Commission will determine what sanctions, if any, are appropriate in this matter.

Accordingly, IT IS ORDERED, pursuant to Rule of Practice 450(a),⁴ that a brief in support of the petition for review shall be filed by December 9, 2020. A brief in opposition

¹ 17 C.F.R. § 201.411.

² *Mark Feathers*, Initial Decision Release No. 1403 (Sept. 25, 2020), 2020 WL 5763383.

³ 17 C.F.R. § 201.411(d).

⁴ 17 C.F.R. § 201.450(a).

shall be filed by January 8, 2021, and any reply brief shall be filed by January 22, 2021.⁵ Pursuant to Rule of Practice 180(c),⁶ failure to file a brief in support of the petition may result in dismissal of this review proceeding.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁵ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) & (c), with respect to content and length limitations, and Rules of Practice 150–153, 17 C.F.R. § 201.150–153, with respect to form and service, as well as the Commission’s March 18, 2020 order providing further instructions regarding the filing and service of papers in appeals of initial decision. *See In re: Pending Administrative Proceedings*, Exchange Act Release No. 88415, <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.

⁶ 17 C.F.R. § 201.180(c).