

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 90098 / October 6, 2020

INVESTMENT ADVISERS ACT OF 1940
Release No. 5609 / October 6, 2020

Admin. Proc. File No. 3-19323

In the Matter of

SEAN KELLY

ORDER REGARDING SERVICE

On August 7, 2019, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Sean Kelly pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.¹ It appears that there have been no other filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon Kelly.

Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by October 20, 2020, and every 28 days thereafter until service is accomplished. The parties’ attention is called to the Commission’s March 18, 2020 order regarding the filing and service of papers, which provides that pending further order of the Commission parties to the extent possible shall submit all filings electronically at apfilings@sec.gov.²

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

¹ *Sean Kelly*, Exchange Act Release No. 86595, 2019 WL 3716361 (Aug. 7, 2019); *see* 15 U.S.C. § 78o(b); 15 U.S.C. § 80b-3(f).

² *Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (Mar. 18, 2020), available at <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.