

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 90087 / October 5, 2020

In the Matter of the Applications of
SECURITIES INDUSTRY AND FINANCIAL
MARKETS ASSOCIATION

and

BLOOMBERG L.P.

For Review of Actions Taken by

Various National Securities Exchanges and
National Market System Plans in Their Role as
Registered Securities Information Processors

ORDER GRANTING REQUESTS TO WITHDRAW APPLICATIONS FOR REVIEW AND
DISMISSING REVIEW PROCEEDINGS

The Securities Industry and Financial Markets Association (“SIFMA”) and Bloomberg L.P. have filed notices of voluntary dismissal withdrawing certain applications for review challenging national securities exchange rule changes and National Market System plan amendments under Sections 19(d) and 11A of the Securities Exchange Act of 1934.* The exchanges and plan participants support dismissal.

It is appropriate to grant SIFMA’s and Bloomberg’s requests. Accordingly, it is ORDERED that the requests of the Securities Industry and Financial Markets Association and

* See Exhibit A (listing proceedings that are the subject of the notices of voluntary dismissal); *see also* *Sec. Indus. & Fin. Mkts. Ass’n*, Exchange Act Release No. 89504, 2020 WL 4569089, at *2 (Aug. 7, 2020) (inviting the parties “to submit briefing stating whether the challenges asserted in the applications for review listed in Exhibit A should be dismissed”).

Bloomberg L.P. to withdraw their applications for review in the proceedings identified in Exhibit A are granted and that these proceedings are dismissed.

By the Commission.

Vanessa A. Countryman
Secretary

Exhibit A

Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-15351 (May 31, 2013)*
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-15364 (June 25, 2013)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-15394 (July 29, 2013)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-15600 (Oct. 31, 2013)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-15773 (Mar. 5, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-15774 (Mar. 5, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16006 (Aug. 6, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16188 (Oct. 3, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16204 (Oct. 20, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16220 (Oct. 29, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16320 (Dec. 16, 2014)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16330 (Jan. 9, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16356 (Jan. 23, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16423 (Mar. 6, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16490 (Apr. 13, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16526 (May 7, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16574 (June 3, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16685 (July 10, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16724 (Aug. 5, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16793 (Sept. 3, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16834 (Sept. 28, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16918 (Oct. 23, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-16960 (Nov. 16, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17000 (Dec. 11, 2015)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17040 (Jan. 8, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17066 (Jan. 22, 2016)

* The Commission previously severed one challenge asserted in this proceeding and consolidated it with Admin. Proc. File No. 3-15350. *Sec. Indus. & Fin. Mkts. Ass'n*, Exchange Act Release No. 72182, 2014 WL 1998525, at *12, *13 (May 16, 2014). The Commission later dismissed that consolidated proceeding following the decision in *NASDAQ Stock Mkt., LLC v. SEC*, 961 F.3d 421 (D.C. Cir. 2020). See *Sec. Indus. & Fin. Mkts. Ass'n*, Exchange Act Release No. 89503, 2020 WL 4569088, at *1 (Aug. 7, 2020).

Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17105 (Feb. 8, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17138 (Feb. 29, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17176 (Mar. 23, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17208 (Apr. 13, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17244 (May 9, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17331 (July 8, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17663 (Nov. 4, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17702 (Dec. 1, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17738 (Dec. 19, 2016)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17787 (Jan. 17, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17841 (Feb. 10, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-17877 (Mar. 13, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18002 (May 26, 2017)
Bloomberg L.P., File No. 3-18010 (June 5, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18057 (June 30, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18094 (Aug. 1, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18144 (Aug. 29, 2017)
Bloomberg L.P., File No. 3-18145 (Aug. 29, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18248 (Oct. 10, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18286 (Nov. 17, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18310 (Dec. 13, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18313 (Dec. 14, 2017)
Bloomberg L.P., File No. 3-18315 (Dec. 14, 2017)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18345 (Jan. 19, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18362 (Feb. 6, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18365 (Feb. 9, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18383 (Feb. 28, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18441 (Apr. 19, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18525 (June 1, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18572 (July 5, 2018)
Sec. Indus. & Fin. Mkts. Ass'n, File No. 3-18680 (Aug. 24, 2018)