

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
February 4, 2020

SECURITIES EXCHANGE ACT OF 1934
Release No. 88115 / February 4, 2020

Admin. Proc. File No. 3-19666

In the Matter of the Application of

WILSON-DAVIS & CO.,
JAMES C. SNOW, and
BYRON B. BARKLEY

For Review of Disciplinary Action Taken by

FINRA

ORDER
SCHEDULING
BRIEFS

Wilson-Davis & Co., James C. Snow, and Byron B. Barkley filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,¹ for review of disciplinary action taken against them by the Financial Industry Regulatory Authority (“FINRA”). FINRA filed a copy of the index to the record on January 13, 2020, pursuant to Rule 420(e) of the Rules of Practice.²

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,³ that a brief in support of the applications for review shall be filed by March 5, 2020. A brief in

¹ 15 U.S.C. § 78s(d)(2).

² 17 C.F.R. § 201.420(e).

³ 17 C.F.R. § 201.450(a).

opposition shall be filed by April 6, 2020, and any reply brief shall be filed by April 20, 2020.⁴ Pursuant to Rule 180(c) of the Rules of Practice, failure to file a brief in support of the application may result in dismissal of this review proceeding.⁵

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) and (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

⁵ 17 C.F.R. § 201.180(c).