

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933
Release No. 10767 / March 18, 2020

SECURITIES EXCHANGE ACT OF 1934
Release No. 88415 / March 18, 2020

INVESTMENT ADVISERS ACT OF 1940
Release No. 5467 / March 18, 2020

INVESTMENT COMPANY ACT OF 1940
Release No. 33820 / March 18, 2020

In re: Pending Administrative Proceedings
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ORDER

The Commission orders that, pursuant to Rule of Practice 100(c)¹ and pending further order of the Commission, all parties in proceedings initiated by an order instituting proceedings, the filing of a petition for review of an initial decision by a hearing officer, the filing of an application for review of a self-regulatory organization determination, or the filing of an application for review of a determination by the Public Company Accounting Oversight Board, shall to the extent possible submit all filings to the Commission by sending them to the Commission electronically at apfilings@sec.gov. The Commission will also continue to accept paper filings sent to the Office of the Secretary, although processing of documents received only via mail may be delayed. Parties are reminded of their obligations to serve all filings on each party in the proceeding and are encouraged to provide each other with courtesy copies of all filings electronically.

The Commission will continue to post its opinions and orders in such proceedings on the Commission's website, which the parties are encouraged to review, particularly in any time-sensitive matters.² Paper copies of such opinions and orders will also be served subsequently as expeditiously as is practicable, absent waiver of service as described below.

¹ 17 C.F.R. § 201.100(c).

² See <https://www.sec.gov/litigation/opinions.shtml>.

The Commission encourages the parties in each pending proceeding to confer and notify the Commission, by sending a letter or notice to the Commission at apfilings@sec.gov, as to whether they agree to waive paper service of all opinions and orders, and instead accept service of all opinions and orders, including this order, by other reasonable means, such as email delivery.³

The Commission further notes that, in all proceedings as described above, pending further order of the Commission, all reasonable requests for extensions of time will not be disfavored as stated in Rule 161.⁴

By the Commission.

Vanessa A. Countryman
Secretary

³ See Rule of Practice 141(b), 17 C.F.R. § 201.141(b) (“Written orders or decisions issued by the Commission or by a hearing officer shall be served promptly on each party pursuant to any method of service authorized under paragraph (a) of this rule or Rules 150(c)(1)-(3).”); Rule of Practice 141(a)(4), 17 C.F.R. § 201.141(a)(4) (providing that a party may be served by “other reasonable means” if a waiver of service is obtained from the party and placed in the record”).

⁴ 17 C.F.R. § 201.161(b)(1) (stating that requests for extensions are disfavored).