

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 87247 / October 8, 2019

Admin. Proc. File No. 3-19303

In the Matter of  
  
QUICKSILVER STOCK TRANSFER, LLC, AKA  
QUICKSILVER STOCK TRANSFER CORPORATION

ORDER REGARDING REQUEST FOR SUBPOENAS

The Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) on August 1, 2019, pursuant to Section 17A(c)(3)(A) of the Securities Exchange Act of 1934, against Quicksilver Stock Transfer, LLC, aka Quicksilver Stock Transfer Corporation.<sup>1</sup> The OIP ordered that a public hearing be held before the Commission for the purpose of taking evidence. The OIP further ordered that the Division of Enforcement and respondent conduct a prehearing conference pursuant to Commission Rule of Practice 221<sup>2</sup> and, after such a conference is held, file a statement with the Office of the Secretary advising the Commission of any agreements reached at said conference.

On September 13, 2019, the Division of Enforcement and respondent filed a joint report on the parties’ prehearing conference. The joint report stated that the parties believed that a discovery period of 120 days from the filing of the OIP was appropriate. On September 23, 2019, a prehearing schedule was issued based on the parties’ proposed discovery deadlines.<sup>3</sup>

The Division subsequently requested, pursuant to Commission Rule of Practice 232,<sup>4</sup> that the Commission issue subpoenas for the production of documents from Quicksilver Stock Transfer, LLC, and its president and owner Alan Shinderman; and from Quicksilver Stock Transfer Corporation, and its president, Nina Rosen. The Division also requested the issuance of subpoenas to depose Shinderman and Rosen. The subpoenas attached to its request, however,

<sup>1</sup> *Quicksilver Stock Transfer, LLC, aka Quicksilver Stock Transfer Corp.*, Exchange Act Release No. 86544, 2019 WL 3531248 (Aug. 1, 2019).

<sup>2</sup> 17 C.F.R. § 201.221.

<sup>3</sup> *Quicksilver Stock Transfer, LLC, aka Quicksilver Stock Transfer Corp.*, Exchange Act Release No. 87061, 2019 WL 4596725 (Sept. 23, 2019).

<sup>4</sup> 17 C.F.R. § 201.232.

appear to contemplate that they are to be issued by an “Administrative Law Judge.” This matter remains pending before the Commission and has not been set before an administrative law judge.

Accordingly, IT IS ORDERED that the Division make a supplemental filing by October 15, 2019, that further explains its request for subpoenas or that seeks to modify its request to include proposed subpoenas that reflect that they are to be issued by the Commission. If the Division chooses to submit revised subpoenas, it may also modify the date of the deposition or date by which production is due to reflect the time elapsed since its first request for their issuance.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary