

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
July 2, 2019

SECURITIES EXCHANGE ACT OF 1934
Release No. 86288 / July 2, 2019

Admin. Proc. File No. 3-19185

In the Matter of the Application of
SOUTHEAST INVESTMENTS, N.C., INC.
AND FRANK HARMON BLACK

For Review of Disciplinary Action Taken by
FINRA

ORDER
SCHEDULING
BRIEFS

Southeast Investments, N.C., Inc. and Frank Harmon Black filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,¹ for review of disciplinary action taken against them by the Financial Industry Regulatory Authority (“FINRA”). FINRA filed a copy of the index to the record on June 13, 2019, pursuant to Rule 420(e) of the Rules of Practice.²

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,³ that a brief in support of the applications for review shall be filed by August 1, 2019. A brief in

¹ 15 U.S.C. § 78s(d)(2).

² 17 C.F.R. § 201.420(e).

³ 17 C.F.R. § 201.450(a).

opposition shall be filed by September 2, 2019, and any reply brief shall be filed by September 16, 2019.⁴ Pursuant to Rule 180(c) of the Rules of Practice, failure to file a brief in support of the application may result in dismissal of this review proceeding.⁵

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) and (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

⁵ 17 C.F.R. § 201.180(c).