

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933
Release No. 10477 / April 5, 2018

Admin. Proc. File No. 3-16339

In the Matter of

DIANE DALMY, ESQ.

SUPPLEMENTAL BRIEFING ORDER

On January 15, 2015, the Commission instituted this administrative proceeding and directed that it be presided over by an administrative law judge (“ALJ”).¹ The ALJ issued an initial decision as to respondent Diane Dalmy on September 18, 2015,² and Dalmy sought Commission review. On November 30, 2017, the Commission remanded the matter to the ALJ who issued the initial decision in order for him to conduct a de novo reconsideration and reexamination of the record to determine “whether to ratify or revise in any respect all prior actions taken by” the ALJ.³ As part of the remand, the parties were given the opportunity to submit any new evidence that they deemed relevant. On January 26, 2018, the ALJ determined, upon reconsideration of the record, to ratify all prior actions and determinations in this proceeding, including the initial decision.⁴

The Commission will now complete its consideration of the petition for review. Accordingly, it is ORDERED that the parties may file simultaneous briefs, not to exceed 6000 words, addressing any matters that they deem pertinent in light of the ALJ’s ratification order by

¹ *John Briner, Esq.*, Securities Act Release No. 9699, 2015 WL 220959, at *30 (Jan. 15, 2015).

² *John Briner, Esq.*, Initial Decision Release No. 886, 2015 WL 5472623 (Sept. 18, 2015).

³ *Pending Admin. Proc.*, Securities Act Release No. 10440, 2017 WL 5969234, at *1-2 (Nov. 30, 2017).

⁴ *John Briner, Esq.*, Admin. Proc. Rulings Release No. 5538, 2018 SEC LEXIS 282, at *2 (Jan. 26, 2018).

May 7, 2018.⁵ They may file simultaneous response briefs, not to exceed 3000 words, by May 21, 2018. It is unnecessary to restate arguments asserted in previous briefing before the Commission.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary

⁵ Attention is called to Rules of Practice 150-153, 17 C.F.R. § 201.150-153, with respect to form and service, and Rule of Practice 450(b), 17 C.F.R. § 201.450(b), with respect to content limitations. Requests for extensions of time to file briefs will be disfavored.