

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 78252 / July 7, 2016

INVESTMENT ADVISERS ACT OF 1940
Release No. 4445 / July 7, 2016

INVESTMENT COMPANY ACT OF 1940
Release No. 32172 / July 7, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-15141

In the Matter of

MOHAMMED RIAD and
KEVIN TIMOTHY SWANSON

ORDER AMENDING OPINION

It is *sua sponte* ORDERED that the opinion of the Commission issued on June 13, 2016, hereby amended as follows.

On page 19, the sentence beginning <Specifically, they prohibit . . . > is replaced with the following sentence <Specifically, Exchange Act Rule 10b-5 prohibits “employ[ing] any device, scheme, or artifice to defraud”; “mak[ing] any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading”; or “engag[ing] in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.”>

The amended opinion will be issued concurrently with this order.

By the Commission.

Brent J. Fields
Secretary