

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION  
April 30, 2015

SECURITIES ACT OF 1933  
Release No. 9763 / April 30, 2015

INVESTMENT ADVISERS ACT OF 1940  
Release No. 4075 / April 30, 2015

INVESTMENT COMPANY ACT OF 1940  
Release No. 31588 / April 30, 2015

Admin. Proc. File No. 3-15574

In the Matter of

HARDING ADVISORY LLC and  
WING F. CHAU,

Respondents.

ORDER DIRECTING  
THE FILING OF  
SUPPLEMENTAL BRIEFS

On April 27, 2015, the parties jointly requested that supplemental briefing be ordered to address the alleged constitutional violations referenced in footnote 34 of Respondents' April 1, 2015 Opening Brief in Support of their Petition for Review. On review of the motion, it is determined that such briefing is appropriate on the terms set forth below.

Accordingly, it is ORDERED that, on or before June 8, 2015, Respondents file an initial brief limited to the issues referenced above of no more than 7,000 words; on or before July 2,

2015, the Division of Enforcement file a responsive brief of no more than 7,000 words; and, on or before July 17, 2015, Respondents file any reply brief of no more than 3,500 words. No further briefs may be filed without leave of the Commission.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary