

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
May 30, 2014

INVESTMENT ADVISERS ACT OF 1940
Release No. 3841 / May 30, 2014

Admin. Proc. File No. 3-15580

In the Matter of

ANTHONY CHIASSON

ORDER
GRANTING
PETITION
FOR REVIEW
AND SCHEDULING
BRIEFS

Pursuant to Commission Rule of Practice 411,¹ the petition of Anthony Chiasson for review of the administrative law judge's initial decision is granted. In addressing the issues raised by Chiasson's petition, the parties are also directed to address the question of whether the initial decision should be summarily affirmed pursuant to Rule of Practice 411(e).² Pursuant to Rule of Practice 411(d),³ the Commission will determine what sanctions, if any, are appropriate in this matter.

Accordingly, IT IS ORDERED, pursuant to Rule of Practice 450(a),⁴ that a brief in support of the petition for review shall be filed by June 30, 2014. A brief in opposition

¹ 17 C.F.R. § 201.411.

² 17 C.F.R. § 201.411(e).

³ 17 C.F.R. § 201.411(d).

⁴ 17 C.F.R. § 201.450(a).

shall be filed by July 30, 2014, and any reply brief shall be filed by August 13, 2014.⁵ Pursuant to Rule of Practice 180(c),⁶ failure to file a brief in support of the petition may result in dismissal of this review proceeding as to that petitioner.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Lynn M. Powalski
Deputy Secretary

⁵ As provided by Rule of Practice 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules 150 – 153, 17 C.F.R. §§ 201.150 – 153, with respect to form and service, and Rules of Practice 450(b) and (c), 17 C.F.R. §§ 201.450(b), 201.450(c), with respect to content and length limitations. Requests for extensions of time to file briefs are disfavored.

⁶ 17 C.F.R. § 201.180(c).