

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION  
September 23, 2014

SECURITIES EXCHANGE ACT OF 1934  
Release No. 73198 / September 23, 2014

Admin. Proc. File No. 3-16022

In the Matter of the Application of

BLAIR C. MIELKE and  
FREDERICK W. SHULTZ

For Review of Action Taken by

FINRA

ORDER  
SCHEDULING  
BRIEFS

Blair C. Mielke and Frederick W. Shultz each filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,<sup>1</sup> for review of action taken against them by the Financial Industry Regulatory Authority ("FINRA"). FINRA filed a copy of the index to the record on September 2, 2014, pursuant to Rule 420(d) of the Rules of Practice.<sup>2</sup>

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,<sup>3</sup> that one consolidated brief in support of both applications for review shall be filed by October 23, 2014. One consolidated brief in opposition shall be filed by November 24, 2014, and one consolidated reply brief shall be filed by December 8, 2014.<sup>4</sup> The consolidated brief in support

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<sup>1</sup> 15 U.S.C. § 78s(d)(2).

<sup>2</sup> 17 C.F.R. § 201.420(d).

<sup>3</sup> 17 C.F.R. § 201.450(a).

<sup>4</sup> As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17

and the consolidated brief in opposition shall not exceed 21,000 words. The consolidated reply brief shall not exceed 10,500 words. Pursuant to Rule 180(c) of the Rules of Practice,<sup>5</sup> failure to file a brief in support of the application may result in dismissal of this review proceeding.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Lynn M. Powalski  
Deputy Secretary

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C.F.R. § 201.450(b), (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

<sup>5</sup> 17 C.F.R. § 201.180(c).