

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
June 10, 2014

SECURITIES EXCHANGE ACT OF 1934
Release No. 72360 / June 10, 2014

INVESTMENT ADVISERS ACT OF 1940
Release No. 3852 / June 10, 2014

INVESTMENT COMPANY ACT OF 1940
Release No. 31076 / June 10, 2014

Admin. Proc. File No. 3-15141

In the Matter of

MOHAMMED RIAD
AND KEVIN TIMOTHY SWANSON

CORRECTED
ORDER
GRANTING
PETITION
FOR REVIEW
AND SCHEDULING
BRIEFS

Pursuant to Commission Rule of Practice 411,¹ the petition of Mohammed Riad and Kevin Timothy Swanson for review of the administrative law judge's initial decision is granted. Pursuant to Rule of Practice 411(d),² the Commission will determine what sanctions, if any, are appropriate in this matter.

Accordingly, IT IS ORDERED, pursuant to Rule of Practice 450(a),³ that a brief in support of the petition for review shall be filed by July 10, 2014. A brief in opposition

¹ 17 C.F.R. § 201.411.

² 17 C.F.R. § 201.411(d).

³ 17 C.F.R. § 201.450(a).

shall be filed by August 11, 2014, and any reply brief shall be filed by August 25, 2014.⁴ Pursuant to Rule of Practice 180(c),⁵ failure to file a brief in support of the petition may result in dismissal of this review proceeding as to that petitioner.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Jill M. Peterson
Assistant Secretary

⁴ As provided by Rule of Practice 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules 150 – 153, 17 C.F.R. §§ 201.150 – 153, with respect to form and service, and Rules of Practice 450(b) and (c), 17 C.F.R. §§ 201.450(b), 201.450(c), with respect to content and length limitations. Requests for extensions of time to file briefs are disfavored.

⁵ 17 C.F.R. § 201.180(c).