

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 71706 / March 12, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15601

In the Matter of the Application of

MITCHELL H. FILLET

For Review of Disciplinary Action Taken by

FINRA

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EXTENSION ORDER

Applicant Mitchell H. Fillet (“Applicant”) has requested an extension until April 11, 2014 to respond to the Financial Industry Regulatory Authority’s (“FINRA”) opposition to Applicant’s brief in support of his application for review. FINRA filed its brief in opposition to the Applicant’s initial brief on February 28, 2014, and Applicant’s reply brief is currently due on March 15, 2014.

Extensions of time are disfavored. It appears appropriate, however, to grant a brief extension. Therefore,

It is ORDERED that Applicant’s reply brief is due March 31, 2014. Applicant is reminded that Commission Rule of Practice 154(c) provides that a reply brief cannot exceed 7,000 words, exclusive of any table of contents or table of authorities. No further extensions will be granted.

For the Commission, by its Secretary, pursuant to delegated authority.

Jill M. Peterson
Assistant Secretary