

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
October 17, 2013

SECURITIES EXCHANGE ACT OF 1934
Release No. 70707 / October 17, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15508

In the Matter of the Application of

SMARTHEAT, INC.

For Review of Action Taken by

THE NASDAQ STOCK MARKET, LLC

ORDER
SCHEDULING
BRIEFS

SmartHeat, Inc. filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,¹ for review of action taken against him by the NASDAQ Stock Market, LLC ("NASDAQ"). The NASDAQ filed a copy of the index to the record on September 23, 2013, pursuant to Rule 420(d) of the Rules of Practice.²

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,³ that a brief in support of the application for review shall be filed by November 18, 2013. A brief in

¹ 15 U.S.C. § 78s(d)(2).

² 17 C.F.R. § 201.420(d).

³ 17 C.F.R. § 201.450(a).

opposition shall be filed by December 18, 2013, and any reply brief shall be filed by January 2, 2014.⁴ Pursuant to Rule 180(c) of the Rules of Practice, failure to file a brief in support of the application may result in dismissal of this review proceeding.⁵

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Elizabeth M. Murphy
Secretary

⁴ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) and (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

⁵ 17 C.F.R. § 201.180(c).