

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 69312 / April 4, 2013

Admin. Proc. File No. 3-15172

In the Matter of the Application of

HARRY W. HUNT
3816 Linden Drive East
Medina, MN 55340

For Review of Action Taken by

FINRA

ORDER DISMISSING
APPLICATION FOR REVIEW

On January 10, 2013, Harry W. Hunt, a general securities representative formerly associated with Wachovia Securities, LLC,¹ a FINRA member firm, filed an application for review of the self-regulatory organization's decision to bar him in all capacities for violating FINRA Rule 2010 by using a customer's confidential information to apply for a loan without the customer's knowledge or consent.² On February 12, 2013, Hunt, through counsel, filed a letter

¹ Wachovia Securities was renamed Wells Fargo Advisors, LLC, after its parent, Wachovia Corporation, merged with Wells Fargo & Company on December 31, 2008.

² *Dep't of Enf. v. Harry W. Hunt*, Complaint No. 2009018068701, 2012 FINRA Discip. LEXIS 62 (Dec. 18, 2012). FINRA Rule 2010 requires members to observe high standards of commercial honor and just and equitable principles of trade. Rule 2010 applies to Hunt through FINRA Rule 140, which provides that persons associated with a member have the same duties and obligations as a member.

with the Commission stating that he was withdrawing his application for review.³ It is appropriate to grant Hunt's request.

Accordingly, IT IS ORDERED that Harry W. Hunt's application for review of FINRA's decision is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Elizabeth M. Murphy
Secretary

³ In the same letter, Hunt's counsel stated that he was thereby withdrawing as counsel from the case.