## IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEBRASKA

UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff.

8:24-CV-172

vs.

JUDGMENT

JERRY D. GUESS and GUESS & CO. CORPORATION,

Defendants.

Pursuant to the accompanying memorandum and order, judgment is entered for the plaintiff and against the defendants as follows:

- For a period of five years from the date of this judgment, defendants Jerry D. Guess and Guess & Co. Corporation shall be enjoined from participating in the issuance, purchase, offer, or sale of any security, except to the extent that Jerry D. Guess may purchase and sell securities for his own account.
- Defendants Jerry D. Guess and Guess & Co. Corporation shall be permanently enjoined from violating Sections 17(a)(1) and 17(a)(3) of the Securities Act of 1933, 15 U.S.C. §§ 77q(a)(1), 77q(a)(3).
- Defendant Jerry D. Guess is ordered to pay a civil penalty in the amount of \$15,000.

Dated this 7th day of July, 2025.

BY THE COURT:

hn M. Gerrard

enior United States District Judge