

Securities Exchange Commission

Adam Strege

Vs

Charles Schwab and Bank America

Opening Brief Application for Review

Its abuse discretion attached Denial of FINRA Forum Dismissed

12/11/2024 for reasons Stated 1/1/2024 Letter FINRA Laws do not allow

Bad words its when new FINRA Case used no Bad Words violates the

First Amendment Freedom Religion and Speech

Google and Microsoft Rember everyone user name and Password There

98 trillion Fraud Theft yearly Brokers Rob Steel Millions of people Money

then all Bank Lawyers and Judges write its imposable to Comprehend

when all People have zero Rights in Court then People can only write its

Legal 100% God Loves to do bad things will Deny FINRA Forum violates

1<sup>st</sup> Amendment Freedom Religion and Speech

2 billion People Died Plague-Smallpox-Spanish Flue and Wars nobody

can write Brokers and Government Does Bad things will Deny FINRA

Forum so Open a New Case writing no Bad things is Denied because

wrote Bad things in a Previous Case

Adam Never Received SEC Law Judge remand the Case Back to FINRA so

Adam Strege USPS Mail 20 Copies SEC Remand to FINRA 100% Does not

respond and FINRA Open a New Case the SEC Dismissal letter was not

mail to Adam and SEC Orders are not email and not Seen SEC Portal

Login.gov

Adam filed 3 sperate Lawsuits in Federal Court Clerks do not mail the

Judges Orders to anyone they do not like the Federal Court Clerks refuse

to File Documents of people they do not like so Successfully Find Case

on Pacer does not list Case Records.

Plaintiff Adam Strege alleges defendants Bank America and Charles Schwab violates FINRA Laws, SEC Laws, Fair Credit Reporting Act 15 U.S.C. § 1681, Federal Business Torts Laws, Federal Fraud Tort Laws and Federal economic tort Laws, 18 U.S. Code § 1951, RICO Act §1962(d) 42 USC 1346(b), Federal Tort Claims Act FTCA, 28 U.S. Code 2671 to 2680, Title VII Civil Rights Act 42 U.S.C. §§ 2000e to 2000e-17 , 42 U.S.C. §§ 12101 Americans with Disabilities Act violated by Interactive Brokers and TD Charles Schwab close brokerage account for 100% no Reason when Adam Strege has 800 Credit Score no Broker can verify to Open a New Account making it imposable to trade in Simulator and Brokers do nothing to Help People there 98% Failure Rate so Broker Does not Place Trade and Rob all Money.

FINRA, SEC and Brokers do 100% nothing to Help Poor and Disabled People then 80% all Trades Made by 100% Computer Ai rich people have 100% unfair advantage

Das Charts only work with Free Brokers Interactive Brokers and TD Charles Schwab use \$100 month Das Charts has max loss and max loss settings.

Adam using a Broker that has no Simulator so 4 months had \$1000 Trading Account accidentally Trade \$1004 total all day a Trading Violation then Treasury Bills Expire so \$26,000 Margin account allowed \$450,000 Trade was big Loss a \$19,000 Margin Account allow \$400,000 Trade then Broker Restrict Suspend the Account 90 Days its imposable to Trade

Adam cannot File FINRA Lawsuit against Trade Station, Interactive Brokers because in Future they might let Open a Brokerage account

Adam Strege Trade 4 years using Charles Schwab TD closed the Account when SEC remanded case to FINRA that Adam saw on internet because SEC Orders are never sent to Adam.

100% Everyone that Files a SEC FINRA Lawsuit the Broker can close the accounts fore 100% no reason making it imposable to Trade

Trade Station open new account require Phone Picture of Driver's License does not work.

When Adam Strege 1<sup>st</sup> awarded Social Security SSDI Charles Schwab Old owners Wells Fargo Bank and Bank America stole \$100,000 Dollars from Adam Strege then 50 Bank Lawyers say its imposable to Comprehend because all People have zero rights in Court then Brokers can rob their customers and nothing, they can do about it

Using Mom USA Mail address Adam Strege 1 year had account Had T Rowe Price forcibly Closed the account when T Rowe Price requested USA Utility Bills in Adam name when Adam lived in Chile South America.

Companies and Government Does not like people get banned from doing most Everything.

There 80 million Refugees it's never safe to go outside the rest of life  
there nothing to do when no Broker can verify you to open and New  
Account when Adam Strege has 800 Credit Score

Only 1 Broker Can Verify you and mite spend 8 Years Trading then no  
Broker Can verify you making it imposable to Trade.

Relief Requested that Charles Schwab let Adam trade in simulator and  
Brokers should have Max Share Size and Max Loss



**TO:** Adam Strege

**From:** Joyce P. Tuazon  
Senior Case Specialist

**Subject:** FINRA Dispute Resolution Services Arbitration Number 24-02587  
Adam Strege and Adam Strege vs. Charles Schwab & Co., Inc. and Bank of America

**Date:** December 11, 2024

In response to your filing on December 7, 2024, pursuant to FINRA Rule 12203, and for the reasons explained in the February 1, 2024, letter and March 7, 2024, letter, the Director of Dispute Resolution Services denies the use of FINRA's arbitration forum for your statement of claim.

If you have any questions, please do not hesitate to contact me at 213-613-2674 or by email at Joyce.Tuazon@finra.org.

JPT:jpt:LC53A  
idr: 02/18/2020

RECIPIENTS: [REDACTED]  
Adam Strege, [REDACTED]  
On Behalf Of: Adam . Strege

Adam Strege [REDACTED]

[REDACTED]

12/11/2024

OS Received 12/11/2024