

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING

File Nos. 3-22307 and 3-22308

In the Matters of

**EPIC CAPITAL WEALTH
ADVISORS, LLC;**

and

DAVID M. ANTHONY,

Respondents.

PARTIES' PROPOSED SCHEDULE

Pursuant to the Court's prior Orders in the above-captioned matters, including the Order of March 21, 2025 directing the parties to confer and propose a procedural schedule before April 2, 2025, the Division of Enforcement, on consent of the Respondents, respectfully submits the following proposed schedule for the Court's consideration. On March 20, 2025, counsel for the Division of Enforcement and David M. Anthony, *pro se*, conferred by telephone concerning a proposed schedule and agreed to the following deadlines and proposed date for the consolidated Hearing in these matters:

| | |
|--|---------------|
| Close of discovery: | May 9, 2025 |
| Submission of parties' pre-hearing briefs: | May 23, 2025 |
| Hearing (remote, by video): | June 2, 2025 |
| Submission of parties' post-hearing brief: | June 18, 2025 |
| Submission of parties' response brief: | July 2, 2025 |
| Initial decision: | Aug. 13, 2025 |
| Petition for review of initial decision: | Aug. 20, 2025 |

Commission decision:

Oct. 20, 2025

A proposed order including these dates is attached to this application.

Respectfully submitted this 24th day of March, 2025.

s/ James P. McDonald

James P. McDonald
Division of Enforcement
Securities and Exchange Commission
Denver Regional Office
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(303) 844-1059
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CERTIFICATE OF SERVICE

I hereby certify that a true copy of the foregoing was filed with the Court and served on the following on this 24th day of March, 2025, in the manner indicated below:

Mr. David Anthony (via email)
dave@epiccapitalwealth.com

s/ James P. McDonald
James P. McDonald
Division of Enforcement
Securities and Exchange Commission

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[PROPOSED] ORDER

The Securities and Exchange Commission instituted these proceedings on November 8, 2024: pursuant to Section 203(c)(2)(B) of the Investment Advisers Act of 1940, as to Epic Capital Wealth Advisors, LLC, and pursuant to Section 203(f) of the Investment Advisers Act of 1940 as to David M. Anthony, Epic Capital's president. On January 23, 2025, the Commission issued its Order Setting Proceeding for Expedited Hearing, as to Epic Capital, and Order Setting Proceeding for Hearing Before an Administrative Law Judge, as to Mr. Anthony. A joint prehearing conference, at which all parties appeared, was held on January 31, 2025. The parties agreed that the same evidence was relevant to both proceedings and that one joint hearing should be conducted.

Pursuant to Section 203(c)(2)(B) and the agreement of the parties, the Commission must resolve the Epic proceeding by October 20, 2025. See Epic Cap. Wealth Advisors, LLC, Admin. Proc. Rulings Release No. 6923, 2025 SEC LEXIS 366 (Feb. 5, 2025).

Pursuant to orders of the Court, the parties were directed to confer and propose a procedural schedule, and on March 21, 2025, the Division of Enforcement, on consent of Defendants, submitted a proposed schedule.

The Court, having considered the parties proposed schedule, hereby enters the following deadlines, and date to commence for the joint Hearing, in these matters:

| | |
|--|---------------|
| Close of discovery: | May 9, 2025 |
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IT IS SO ORDERED.

Dated: March _____, 2025
Washington, DC

Carol Fox Foelak
Administrative Law Judge