BEFORE THE

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C.

In the Matter of the Application of

Spartan Capital Securities, John D. Lowry, and Kim M. Monchik,

For Review of Disciplinary Action Taken by FINRA

File No. 3-22285

FINRA'S UNOPPOSED MOTION FOR AN EXTENSION OF TIME TO FILE THE CERTIFIED RECORD

Pursuant to Rule 161 of the Securities and Exchange Commission's Rules of Practice, FINRA respectfully moves to extend, to November 22, 2024, the deadline by which FINRA is required to file the certified record in this application for review. Rule of Practice 161(a) provides that the Commission "may, for good cause shown, extend or shorten any time limits prescribed by these Rules of Practice for the filing of any papers[.]" 17 C.F.R. § 201.161(a). The relevant factors the Commission must consider in determining whether to grant an extension of time are (1) the length of the proceeding to date, (2) the number of postponements, adjournments, or extensions already granted, (3) the stage of the proceedings at the time of the request, and (4) any other such matters as justice may require. See Dakota Sec. Int'l, Exchange Act Release No. 83139, 2018 SEC LEXIS 1019, at *2 (May 1, 2018); 17 C.F.R. 201.161(b).

Good cause exists to grant FINRA's request for an extension. FINRA requests this extension because of issues uploading the certified record to the Commission's Electronic Filings in Administrative Proceedings ("eFAP") system, which it hopes to resolve this week.

OS Received 11/18/2024

-

Pursuant to the Commission's acknowledgement letter, FINRA's certified record is due today, November 18, 2024.

Undersigned counsel represents that, despite breaking the certified record into volumes that are far smaller than the 500 MB limit, she has been unable to upload approximately 50 percent of the record. In addition, the relevant factors articulated in Rule of Practice 161 weigh in favor of granting FINRA's motion.² First, this proceeding is two weeks old, in its early stages, and no briefing schedule has been issued. Second, FINRA has not requested any prior postponements, adjournments, or extensions in this matter. Finally, granting FINRA's request will not delay unduly the Commission's review of the application for review or prejudice the applicants.

Therefore, FINRA requests that the Commission grant it four additional calendar days to file the certified record, which would make the record due on November 22, 2024. Counsel for applicants consent to FINRA's request.

Respectfully submitted,

Colleen Durbin
Associate General Counsel
FINRA
1700 K Street, NW
Washington, DC 20006
(202) 728-8816
colleen.durbin@finra.org
nac.casefilings@finra.org

November 18, 2024

2

FINRA filed the index to the certified record with the Commission on Friday, November 15, 2024 and served a copy of the index on opposing counsel, pursuant to Rule of Practice 151(d). 17 C.F.R. § 201.151(d)

CERTIFICATE OF SERVICE

I, Colleen Durbin, certify that on this November 18, 2024, I caused a copy of the foregoing Motion for an Extension of Time, <u>In the Matter of the Application of Spartan Capital Securities, LLC, Lowry, and Monchik.</u>, Administrative Proceeding File No. 3-22285, to be filed through the SEC's eFAP system on:

Vanessa Countryman, Secretary Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-1090

and served by electronic mail on:

Michael H. Ference
Richard J. Babnick Jr.
Thomas P. McEvoy
Sichenzia Ross Ference Carmel LLP
1185 Avenue of the Americas, 31st Floor
New York, New York 10036
mference@srfc.law
rbabnick@srfc.law
tmcevoy@srfc.law

Respectfully submitted,

Colleen Durbin
Associate General Counsel
FINRA
1700 K Street, NW
Washington, DC 20006
(202) 728-8816
colleen.durbin@finra.org
nac.casefilings@finra.org