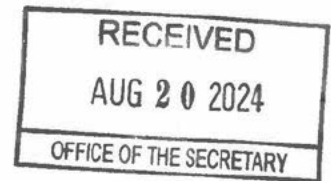


August 7, 2024
File No. 3-21985



c/o Stephanie J. Fouse, Assistant Secretary
United States Securities and Exchange Commission
Washington, D.C. 20549

RE: WITHDRAWAL OF APPLICATION FOR REVIEW File NO. 3-21985

Dear Stephanie J. Fouse:

This document is being submitted to the United States Securities and Exchange Commission in regards to File No. 3-21985. This Application for Review is in regard to a FINRA Ruling that expelled Securities Capital Corporation from being a member firm on June 21, 2024. Securities Capital Corporation (The Firm) failed to submit the 2023 Year End Audit, as well as Focus Reports for March, April, and May of 2024. According to FINRA, the 2023 Year End Audit triggered the expulsion. Although we have earnestly tried to retrieve the data necessary for both the Audit and the Focus Filings, we have not been successful and have come to the conclusion that we cannot change the outcome of the FINRA Ruling because we can't supply the documents needed. Therefore, we will not waste the Commissions time because the information needed is non-retrievable.

To recap, Mr. Michael Jones, my husband and CEO of (The Firm) passed away unexpectedly in April 2024. He served as the firms General Securities Principal and Financial Principal. The firm when in operation, operated as a small Broker-Dealer with less than ten employees.


Enclosed you will find copies of the correspondence regarding the Year End Audit and the past due Focus Filings forwarded to Mr. Jones.

At this point in time, we are no longer listed as a member firm with FINRA. As of today, August 7, 2024, I am withdrawing my Application on behalf of (The Firm) for a Review from the United States Securities and Exchange Commission in the matter of File No. 3-21985.

If you have any questions my mailing address is:

Securities Capital Corporation
P.O. Box 2772
Birmingham, Alabama 35202-2772
(205) 305-6233 Cellular

Respectfully yours,


Juanita S. Jones, CEO
Securities Capital Corporation

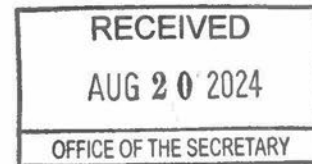
Enclosure



Via Overnight Courier

April 10, 2024

Michael A. Jones, President
Securities Capital Corporation CRD# 22892
P.O. Box 2772
Birmingham, AL 35202 United States



Re: February 2024 FOCUS Report

Dear Member:

We are contacting you because your firm may have missed a required filing due date. According to our records, we have not yet received your firm's February 2024 FOCUS Report, which was due on March 25, 2024. If you have submitted this filing, please contact us promptly to let us know so we can work together to resolve this matter. We have provided our contact information below so you can reach us.

If you have not submitted your firm's FOCUS Report, please be aware that, if this matter is not resolved, the consequences to your firm can be serious, and fees have accrued. Our rules require us to provide certain information to you, which we set forth below. We encourage you to review the information carefully and contact us.

How To Contact Us

If you wish to discuss this notice, please reach out to Alan Blumenthal, your assigned Risk Monitoring Analyst, during business hours by phone at (732) 596-2067, or via email at alan.blumenthal@finra.org.

* * * * *

As noted above, please review the following information carefully. If you have received this notice in error, your Risk Monitoring Analyst will be able to resolve this matter promptly. However, if left unresolved, the consequences to your firm can be serious, and fees have accrued, as described in detail below.

Effective Date of Suspension

FINRA members must timely file their FOCUS Report as required pursuant to Rule 17a-5 under the Securities Exchange Act of 1934, related filing plans and FINRA Rule 2010. Pursuant to FINRA Rule 9552, if your firm does not submit FOCUS Report, your firm will be suspended on May 06, 2024 (“Effective Date”) without further notice. In such event, May 06, 2024 will be the Effective Date of your firm’s suspension, and this notice will serve as your firm’s notice of suspension.

Your firm’s submission must be filed electronically using FINRA’s eFOCUS System.

Request for Hearing

Pursuant to FINRA Rules 9552 and 9559, your firm may request a hearing. The hearing request must be in writing and filed before the Effective Date with the FINRA Office of Hearing Officers. The hearing request must set forth with specificity any and all defenses as to this notice. Please refer to FINRA Rules 9552 and 9559 for further information regarding relevant procedures. Pursuant to FINRA Rule 9559(c)(1), a timely written request for a hearing with the required specificity as to any and all defenses will stay the effectiveness of this notice unless the Chief Hearing Officer or the Hearing Officer assigned to the matter orders otherwise for good cause shown. Hearing requests must be directed to:

FINRA/Office of Hearing Officers

ohocasefilings@finra.org

If your firm requests a hearing, you should be aware that, pursuant to FINRA Rules 8310(a) and 9559(n), a Hearing Officer or, if applicable, Hearing Panel may approve, modify or withdraw any and all sanctions, requirements, restrictions or limitations imposed by this notice, and may also impose any other fitting sanction.

Request for Termination of Suspension

A member that is subject to a suspension pursuant to FINRA Rule 9552 may file a written request for termination of the suspension on the ground of full compliance with the notice of suspension. Such request must be filed with:

FINRA
Brookfield Place
200 Liberty Street 9th Floor
New York, NY 10281

Default Pursuant to FINRA Rule 9552(h)

You should be aware that if your firm is suspended pursuant to FINRA Rule 9552 and fails to request termination of the suspension within three months of the issuance of this notice of suspension, your firm will be automatically expelled. Please refer to FINRA Rule 9552(h).

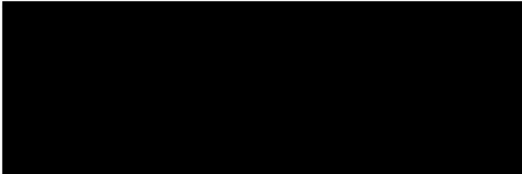
Assessment of Fee

Pursuant to Section 4(g) of Schedule A to FINRA's By-Laws, a fee is assessed in the amount of \$100 for each day (not to exceed ten business days) that the FOCUS Report is not timely filed. As noted above, your firm's February 2024 FOCUS Report was due to be filed on or before March 25, 2024. Accordingly, your assessed fee is \$ 1,000. Please be advised that, among other things, pursuant to FINRA Rule 9553, FINRA may issue a written notice suspending or cancelling the membership of a member that has failed to pay any fees, dues, assessment or other charge required to be paid under FINRA's By-Laws or rules.

* * * * *

If you have any questions about this notice, please contact your assigned Risk Monitoring Analyst, as indicated above.

Regards,

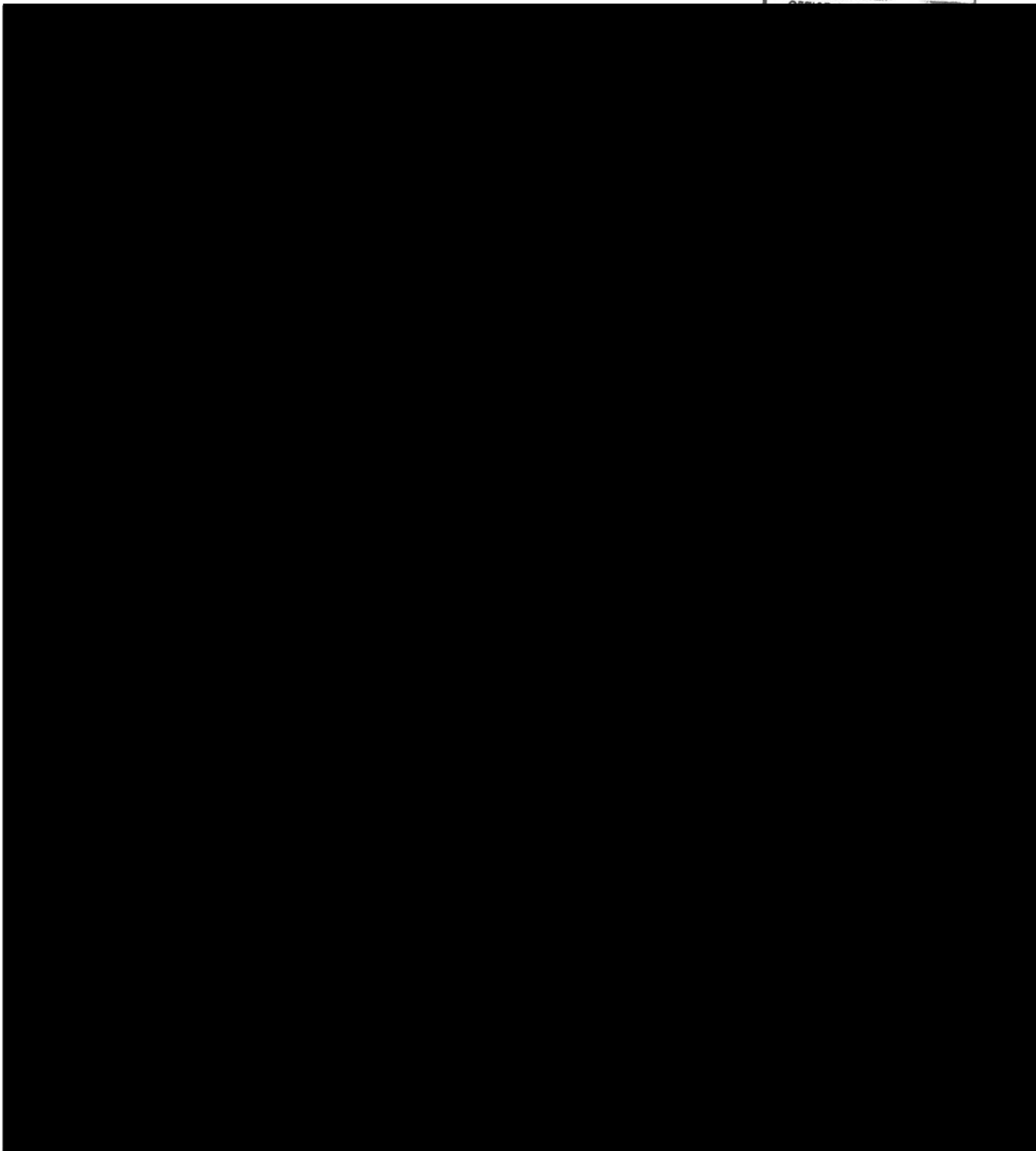


Andrea Lambert, Manager, Risk Monitoring Standards

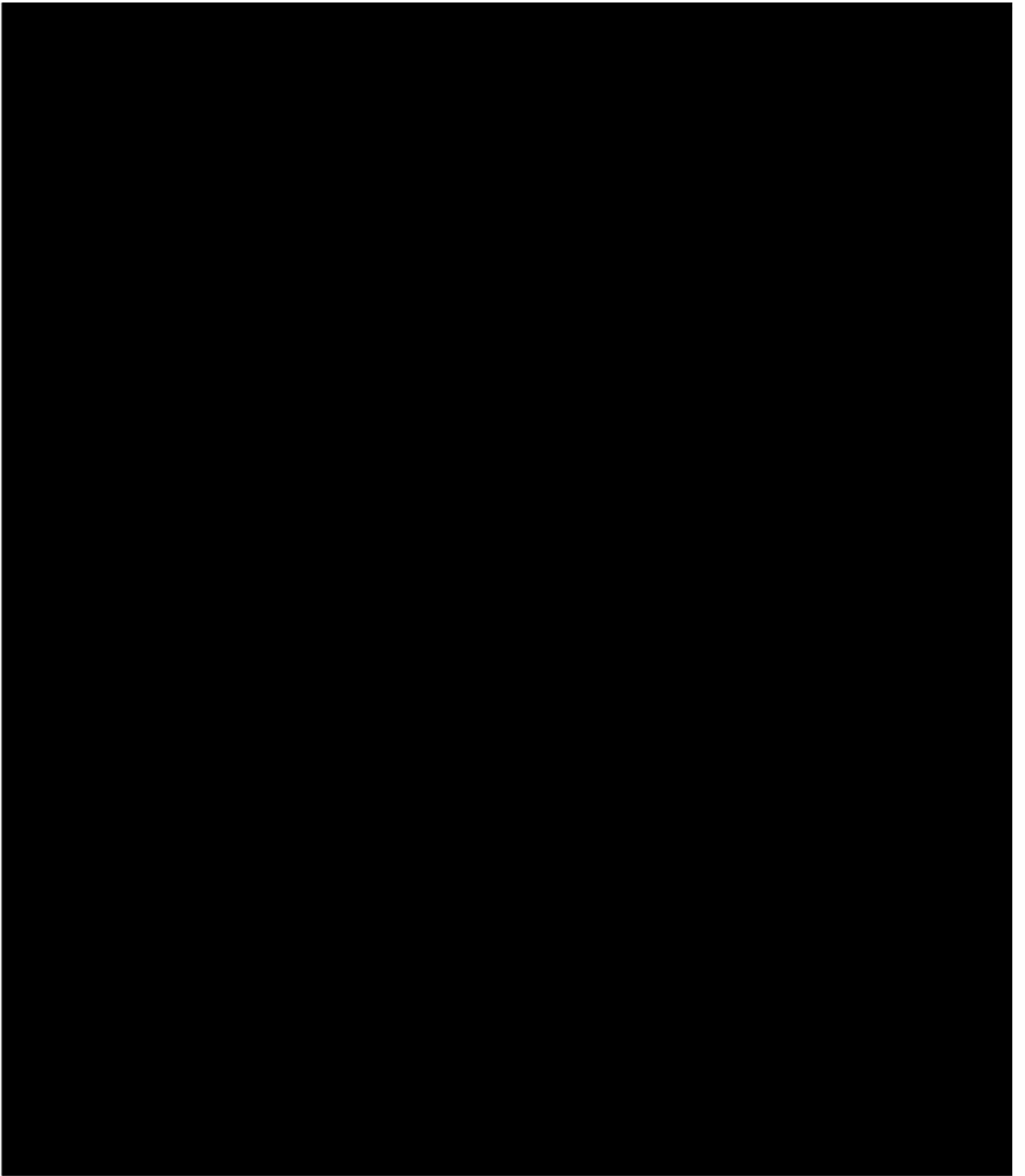
FINRA

RECEIVED

AUG 20 2024



Confidential





Via Overnight Courier

May 08, 2024

Michael A. Jones, President
Securities Capital Corporation CRD# 22892
P.O. Box 2772
Birmingham, AL 35202 United States

Re: March 2024 FOCUS Report

Dear Member:

We are contacting you because your firm may have missed a required filing due date. According to our records, we have not yet received your firm's March 2024 FOCUS Report, which was due on April 23, 2024. If you have submitted this filing, please contact us promptly to let us know so we can work together to resolve this matter. We have provided our contact information below so you can reach us.

If you have not submitted your firm's FOCUS Report, please be aware that, if this matter is not resolved, the consequences to your firm can be serious, and fees have accrued. Our rules require us to provide certain information to you, which we set forth below. We encourage you to review the information carefully and contact us.

How To Contact Us

If you wish to discuss this notice, please reach out to Alan Blumenthal, your assigned Risk Monitoring Analyst, during business hours by phone at (732) 596-2067, or via email at alan.blumenthal@finra.org.

* * * * *

As noted above, please review the following information carefully. If you have received this notice in error, your Risk Monitoring Analyst will be able to resolve this matter promptly. However, if left unresolved, the consequences to your firm can be serious, and fees have accrued, as described in detail below.

Effective Date of Suspension

FINRA members must timely file their FOCUS Report as required pursuant to Rule 17a-5 under the Securities Exchange Act of 1934, related filing plans and FINRA Rule 2010. Pursuant to FINRA Rule 9552, if your firm does not submit FOCUS Report, your firm will be suspended on June 03, 2024 (“Effective Date”) without further notice. In such event, June 03, 2024 will be the Effective Date of your firm’s suspension, and this notice will serve as your firm’s notice of suspension.

Your firm’s submission must be filed electronically using FINRA’s eFOCUS System.

Request for Hearing

Pursuant to FINRA Rules 9552 and 9559, your firm may request a hearing. The hearing request must be in writing and filed before the Effective Date with the FINRA Office of Hearing Officers. The hearing request must set forth with specificity any and all defenses as to this notice. Please refer to FINRA Rules 9552 and 9559 for further information regarding relevant procedures. Pursuant to FINRA Rule 9559(c)(1), a timely written request for a hearing with the required specificity as to any and all defenses will stay the effectiveness of this notice unless the Chief Hearing Officer or the Hearing Officer assigned to the matter orders otherwise for good cause shown. Hearing requests must be directed to:

FINRA/Office of Hearing Officers

ohocasefilings@finra.org

If your firm requests a hearing, you should be aware that, pursuant to FINRA Rules 8310(a) and 9559(n), a Hearing Officer or, if applicable, Hearing Panel may approve, modify or withdraw any and all sanctions, requirements, restrictions or limitations imposed by this notice, and may also impose any other fitting sanction.

Request for Termination of Suspension

A member that is subject to a suspension pursuant to FINRA Rule 9552 may file a written request for termination of the suspension on the ground of full compliance with the notice of suspension. Such request must be filed with:

FINRA
Brookfield Place
200 Liberty Street 9th Floor
New York, NY 10281

Default Pursuant to FINRA Rule 9552(h)

You should be aware that if your firm is suspended pursuant to FINRA Rule 9552 and fails to request termination of the suspension within three months of the issuance of this notice of suspension, your firm will be automatically expelled. Please refer to FINRA Rule 9552(h).

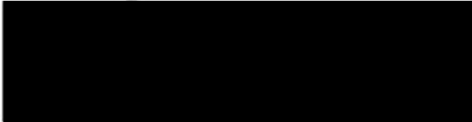
Assessment of Fee

Pursuant to Section 4(g) of Schedule A to FINRA's By-Laws, a fee is assessed in the amount of \$100 for each day (not to exceed ten business days) that the FOCUS Report is not timely filed. As noted above, your firm's March 2024 FOCUS Report was due to be filed on or before April 23, 2024. Accordingly, your assessed fee is \$ 1,000. Please be advised that, among other things, pursuant to FINRA Rule 9553, FINRA may issue a written notice suspending or cancelling the membership of a member that has failed to pay any fees, dues, assessment or other charge required to be paid under FINRA's By-Laws or rules.

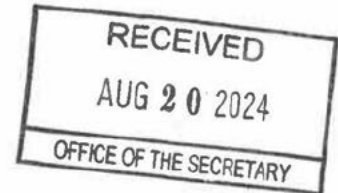
* * * * *

If you have any questions about this notice, please contact your assigned Risk Monitoring Analyst, as indicated above.

Regards,



Andrea Lambert, Manager, Risk Monitoring Standards



Via Overnight Courier

March 15, 2024

Michael A. Jones, President
Securities Capital Corporation CRD# 22892
P.O. Box 2772
Birmingham, AL 35202 United States

Re: December 2023 Annual Audit Report

Dear Member:

We are contacting you because your firm may have missed a required filing due date. According to our records, we have not yet received your firm's December 2023 Annual Audit Report, which was due on February 29, 2024. If you have submitted this filing, please contact us promptly to let us know so we can work together to resolve this matter. We have provided our contact information below so you can reach us.

If you have not submitted your firm's Annual Audit Report, please be aware that, if this matter is not resolved, the consequences to your firm can be serious, and fees have accrued. Our rules require us to provide certain information to you, which we set forth below. We encourage you to review the information carefully and contact us.

How To Contact Us

If you wish to discuss this notice, please reach out to Alan Blumenthal, your assigned Risk Monitoring Analyst, during business hours by phone at (732) 596-2067, or via email at alan.blumenthal@finra.org.

* * * * *

As noted above, please review the following information carefully. If you have received this notice in error, your Risk Monitoring Analyst will be able to resolve this matter promptly. However, if left unresolved, the consequences to your firm can be serious, and fees have accrued, as described in detail below.

Effective Date of Suspension

FINRA members must timely file their Annual Audit Report as required pursuant to Rule 17a-5 under the Securities Exchange Act of 1934 and FINRA Rule 2010. Pursuant to FINRA Rule 9552, if your firm does not submit Annual Audit Report, your firm will be suspended on April 08, 2024 (“Effective Date”) without further notice. In such event, April 08, 2024 will be the Effective Date of your firm’s suspension, and this notice will serve as your firm’s notice of suspension.

Your firm’s submission must be filed electronically using FINRA’s eFOCUS System.

Request for Hearing

Pursuant to FINRA Rules 9552 and 9559, your firm may request a hearing. The hearing request must be in writing and filed before the Effective Date with the FINRA Office of Hearing Officers. The hearing request must set forth with specificity any and all defenses as to this notice. Please refer to FINRA Rules 9552 and 9559 for further information regarding relevant procedures. Pursuant to FINRA Rule 9559(c)(1), a timely written request for a hearing with the required specificity as to any and all defenses will stay the effectiveness of this notice unless the Chief Hearing Officer or the Hearing Officer assigned to the matter orders otherwise for good cause shown. Hearing requests must be directed to:

FINRA/Office of Hearing Officers

ohocasefilings@finra.org

If your firm requests a hearing, you should be aware that, pursuant to FINRA Rules 8310(a) and 9559(n), a Hearing Officer or, if applicable, Hearing Panel may approve, modify or withdraw any and all sanctions, requirements, restrictions or limitations imposed by this notice, and may also impose any other fitting sanction.

Request for Termination of Suspension

A member that is subject to a suspension pursuant to FINRA Rule 9552 may file a written request for termination of the suspension on the ground of full compliance with the notice of suspension. Such request must be filed with:

FINRA
Brookfield Place
200 Liberty Street 9th Floor
New York, NY 10281

Default Pursuant to FINRA Rule 9552(h)

You should be aware that if your firm is suspended pursuant to FINRA Rule 9552 and fails to request termination of the suspension within three months of the issuance of this notice of suspension, your firm will be automatically expelled. Please refer to FINRA Rule 9552(h).

Assessment of Fee

Pursuant to Section 4(g) of Schedule A to FINRA's By-Laws, a fee is assessed in the amount of \$100 for each day (not to exceed ten business days) that the Annual Audit Report is not timely filed. As noted above, your firm's December 2023 Annual Audit Report was due to be filed on or before February 29, 2024. Accordingly, your assessed fee is \$ 1,000. Please be advised that, among other things, pursuant to FINRA Rule 9553, FINRA may issue a written notice suspending or cancelling the membership of a member that has failed to pay any fees, dues, assessment or other charge required to be paid under FINRA's By-Laws or rules.

* * * * *

If you have any questions about this notice, please contact your assigned Risk Monitoring Analyst.

Regards,



Andrea Lambert, Manager, Risk Monitoring Standards



Via Overnight Courier

May 17, 2024

Michael A. Jones, President
Securities Capital Corporation CRD# 22892
P.O. Box 2772
Birmingham, AL 35202 United States

Re: March 2024 Supplemental Statement of Income Report

Dear Member:

We are contacting you because your firm may have missed a required filing due date. According to our records, we have not yet received your firm's March 2024 Supplemental Statement of Income Report, which was due on April 26, 2024. If you have submitted this filing, please contact us promptly to let us know so we can work together to resolve this matter. We have provided our contact information below so you can reach us.

If you have not submitted your firm's Supplemental Statement of Income Report, please be aware that, if this matter is not resolved, the consequences to your firm can be serious. Our rules require us to provide certain information to you, which we set forth below. We encourage you to review the information carefully and contact us.

How To Contact Us

If you wish to discuss this notice, please reach out to Alan Blumenthal, your assigned Risk Monitoring Analyst, during business hours by phone at (732) 596-2067, or via email at alan.blumenthal@finra.org.

* * * * *

As noted above, please review the following information carefully. If you have received this notice in error, your Risk Monitoring Analyst will be able to resolve this matter promptly. However, if left unresolved, the consequences to your firm can be serious, as described in detail below.

Effective Date of Suspension

FINRA members must timely file their Supplemental Statement of Income Report as required pursuant to FINRA Rule 4524 and FINRA Rule 2010. Pursuant to FINRA Rule 9552, if your firm does not submit Supplemental Statement of Income Report, your firm will be suspended on June 10, 2024 (“Effective Date”) without further notice. In such event, June 10, 2024 will be the Effective Date of your firm’s suspension, and this notice will serve as your firm’s notice of suspension.

Your firm’s submission must be filed electronically using FINRA’s eFOCUS System.

Request for Hearing

Pursuant to FINRA Rules 9552 and 9559, your firm may request a hearing. The hearing request must be in writing and filed before the Effective Date with the FINRA Office of Hearing Officers. The hearing request must set forth with specificity any and all defenses as to this notice. Please refer to FINRA Rules 9552 and 9559 for further information regarding relevant procedures. Pursuant to FINRA Rule 9559(c)(1), a timely written request for a hearing with the required specificity as to any and all defenses will stay the effectiveness of this notice unless the Chief Hearing Officer or the Hearing Officer assigned to the matter orders otherwise for good cause shown. Hearing requests must be directed to:

FINRA/Office of Hearing Officers

ohocasefilings@finra.org

If your firm requests a hearing, you should be aware that, pursuant to FINRA Rules 8310(a) and 9559(n), a Hearing Officer or, if applicable, Hearing Panel may approve, modify or withdraw any and all sanctions, requirements, restrictions or limitations imposed by this notice, and may also impose any other fitting sanction.

Request for Termination of Suspension

A member that is subject to a suspension pursuant to FINRA Rule 9552 may file a written request for termination of the suspension on the ground of full compliance with the notice of suspension. Such request must be filed with:

FINRA
Brookfield Place
200 Liberty Street 9th Floor
New York, NY 10281

Default Pursuant to FINRA Rule 9552(h)

You should be aware that if your firm is suspended pursuant to FINRA Rule 9552 and fails to request termination of the suspension within three months of the issuance of this notice of suspension, your firm will be automatically expelled. Please refer to FINRA Rule 9552(h).

* * * * *

If you have any questions about this notice, please contact your assigned Risk Monitoring Analyst.

Regards,



Andrea Lambert, Manager, Risk Monitoring Standards



Via Overnight Courier

May 23, 2024

Michael A. Jones, President
Securities Capital Corporation CRD# 22892
P.O. Box 2772
Birmingham, AL 35202 United States



Re: March 2024 Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report

Dear Member:

We are contacting you because your firm may have missed a required filing due date. According to our records, we have not yet received your firm's March 2024 Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report, which was due on April 30, 2024. If you have submitted this filing, please contact us promptly to let us know so we can work together to resolve this matter. We have provided our contact information below so you can reach us.

If you have not submitted your firm's Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report, please be aware that, if this matter is not resolved, the consequences to your firm can be serious. Our rules require us to provide certain information to you, which we set forth below. We encourage you to review the information carefully and contact us.

How To Contact Us

If you wish to discuss this notice, please reach out to Alan Blumenthal, your assigned Risk Monitoring Analyst, during business hours by phone at (732) 596-2067, or via email at alan.blumenthal@finra.org.

* * * * *

As noted above, please review the following information carefully. If you have received this notice in error, your Risk Monitoring Analyst will be able to resolve this matter promptly. However, if left unresolved, the consequences to your firm can be serious, as described in detail below.

Effective Date of Suspension

FINRA members must timely file their Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report as required pursuant to FINRA Rule 4524 and FINRA Rule 2010. Pursuant to FINRA Rule 9552, if your firm does not submit Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report, your firm will be suspended on June 17, 2024 (“Effective Date”) without further notice. In such event, June 17, 2024 will be the Effective Date of your firm’s suspension, and this notice will serve as your firm’s notice of suspension.

Your firm’s submission must be filed electronically using FINRA’s eFOCUS System.

Request for Hearing

Pursuant to FINRA Rules 9552 and 9559, your firm may request a hearing. The hearing request must be in writing and filed before the Effective Date with the FINRA Office of Hearing Officers. The hearing request must set forth with specificity any and all defenses as to this notice. Please refer to FINRA Rules 9552 and 9559 for further information regarding relevant procedures. Pursuant to FINRA Rule 9559(c)(1), a timely written request for a hearing with the required specificity as to any and all defenses will stay the effectiveness of this notice unless the Chief Hearing Officer or the Hearing Officer assigned to the matter orders otherwise for good cause shown. Hearing requests must be directed to:

FINRA/Office of Hearing Officers

ohocasefilings@finra.org

If your firm requests a hearing, you should be aware that, pursuant to FINRA Rules 8310(a) and 9559(n), a Hearing Officer or, if applicable, Hearing Panel may approve, modify or withdraw any and all sanctions, requirements, restrictions or limitations imposed by this notice, and may also impose any other fitting sanction.

Request for Termination of Suspension

A member that is subject to a suspension pursuant to FINRA Rule 9552 may file a written request for termination of the suspension on the ground of full compliance with the notice of suspension. Such request must be filed with:

FINRA
Brookfield Place
200 Liberty Street 9th Floor
New York, NY 10281

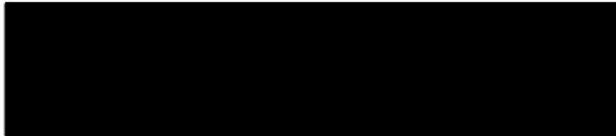
Default Pursuant to FINRA Rule 9552(h)

You should be aware that if your firm is suspended pursuant to FINRA Rule 9552 and fails to request termination of the suspension within three months of the issuance of this notice of suspension, your firm will be automatically expelled. Please refer to FINRA Rule 9552(h).

* * * * *

If you have any questions about this notice, please contact your assigned Risk Monitoring Analyst.

Regards,



Andrea Lambert, Manager, Risk Monitoring Standards



Via Overnight Courier

May 23, 2024

Michael A. Jones, President
Securities Capital Corporation CRD# 22892
P.O. Box 2772
Birmingham, AL 35202 United States



Re: March 2024 Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report

Dear Member:

We are contacting you because your firm may have missed a required filing due date. According to our records, we have not yet received your firm's March 2024 Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report, which was due on April 30, 2024. If you have submitted this filing, please contact us promptly to let us know so we can work together to resolve this matter. We have provided our contact information below so you can reach us.

If you have not submitted your firm's Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report, please be aware that, if this matter is not resolved, the consequences to your firm can be serious. Our rules require us to provide certain information to you, which we set forth below. We encourage you to review the information carefully and contact us.

How To Contact Us

If you wish to discuss this notice, please reach out to Alan Blumenthal, your assigned Risk Monitoring Analyst, during business hours by phone at (732) 596-2067, or via email at alan.blumenthal@finra.org.

* * * * *

As noted above, please review the following information carefully. If you have received this notice in error, your Risk Monitoring Analyst will be able to resolve this matter promptly. However, if left unresolved, the consequences to your firm can be serious, as described in detail below.

Effective Date of Suspension

FINRA members must timely file their Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report as required pursuant to FINRA Rule 4524 and FINRA Rule 2010. Pursuant to FINRA Rule 9552, if your firm does not submit Supplemental Schedule for Derivatives and Other Off-Balance Sheet Report, your firm will be suspended on June 17, 2024 (“Effective Date”) without further notice. In such event, June 17, 2024 will be the Effective Date of your firm’s suspension, and this notice will serve as your firm’s notice of suspension.

Your firm’s submission must be filed electronically using FINRA’s eFOCUS System.

Request for Hearing

Pursuant to FINRA Rules 9552 and 9559, your firm may request a hearing. The hearing request must be in writing and filed before the Effective Date with the FINRA Office of Hearing Officers. The hearing request must set forth with specificity any and all defenses as to this notice. Please refer to FINRA Rules 9552 and 9559 for further information regarding relevant procedures. Pursuant to FINRA Rule 9559(c)(1), a timely written request for a hearing with the required specificity as to any and all defenses will stay the effectiveness of this notice unless the Chief Hearing Officer or the Hearing Officer assigned to the matter orders otherwise for good cause shown. Hearing requests must be directed to:

FINRA/Office of Hearing Officers

ohocasefilings@finra.org

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Request for Termination of Suspension

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FINRA
Brookfield Place
200 Liberty Street 9th Floor
New York, NY 10281

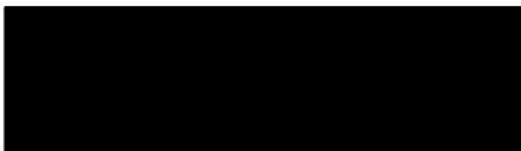
Default Pursuant to FINRA Rule 9552(h)

You should be aware that if your firm is suspended pursuant to FINRA Rule 9552 and fails to request termination of the suspension within three months of the issuance of this notice of suspension, your firm will be automatically expelled. Please refer to FINRA Rule 9552(h).

* * * * *

If you have any questions about this notice, please contact your assigned Risk Monitoring Analyst.

Regards,



Andrea Lambert, Manager, Risk Monitoring Standards