

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21973

In the Matter of

NICOLE J. WALKER,

Respondent.

DIVISION’S STATUS REPORT REGARDING SERVICE
OF ORDER INSTITUTING PROCEEDING

In accordance with the Second Order Regarding Service dated September 10, 2024, the Division of Enforcement states as follows:

1. On June 18, 2024, the Commission issued an Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Notice of Hearing (“OIP”) against Respondent Nicole J. Walker (“Walker”).
2. On June 24, 2024, the OIP was delivered to Tara Church, Esq., (“Ms. Church”) then counsel for Walker. Ms. Church later advised that she no longer represents Walker in this proceeding.
3. Pursuant to the Second Order Regarding Service and Commission Rules of Practice 141(a) and 141(b) [17 C.F.R. §§ 201.141(a) and 201.141(b)], on September 16, 2024, Division counsel mailed the OIP and other documents filed in this proceeding to Walker via certified mail, return receipt requested. See cover letter identifying contents of certified mail to Walker, attached

as Ex. A. As of the date of this filing, the signed return receipt card has not been received by Division counsel.

4. The Division also retained the services of a process server to personally serve Walker. As indicated on the return of service affidavit, attached as Ex. B, Walker was personally served with the OIP and other documents filed in this proceeding on September 18, 2024. Specifically, the return of service states that “on September 18, 2024, at or about 7:25 PM [the process server] served Cover Letter; Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934, and Notice of Hearing; Order Regarding Service; Division's Notice of Appearance; Division's Status Report Regarding Service of Order Instituting Proceeding with Attachment A; Second Order Regarding Service personally to Nicole J. Walker at [REDACTED]”.

5. Accordingly, as Walker was personally served on September 18, 2024, the Division notes Walker's response to the OIP is due on or before October 8, 2024.

RULE 151 CERTIFICATION

The undersigned counsel hereby certifies that sensitive personal information described in Commission Rule of Practice 151(e) [17 C.F.R. § 201.151(e)] has been omitted or redacted from the filing or, if necessary to the filing, has been filed under seal pursuant to § 201.322.

Dated: September 24, 2024

Respectfully submitted,

[REDACTED]

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CERTIFICATE OF SERVICE

Pursuant to Rule 150 of the Commission's Rules of Practice, I hereby certify that on September 24, 2024, the foregoing document was filed using the eFAP system, and that a true and correct copy of the document is being served via overnight delivery on the following persons entitled to notice:

Ms. Nicole J. Walker

[REDACTED]

Respondent

[REDACTED]

Christine Nestor, Esq.
Senior Trial Counsel

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WALKER INDEX OF ATTACHMENTS

Attachment

Description

A	Cover Letter to Respondent dated September 16, 2024
B	Return of Service Affidavit