

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

Admin. Proc. File No. 3-21933

In the Matter of the Application of
NYPPEX, LLC and LAURENCE G. ALLEN
For Review of Disciplinary Action Taken by
FINRA

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MOTION FOR ORAL ARGUMENT

Respondents, NYPPEX, LLC, and Laurence Allen, by and through undersigned counsel, hereby respectfully move, under Rule 451 of the Commission's Rules of Practice [17 C.F.R. § 201.451], to permit oral argument regarding this review. In support of this motion, Respondents state as follows:

1. The issues presented in this review are novel, in the context of recent Supreme Court decisions bearing upon administrative proceedings and the Seventh Amendment right to jury trial.
2. The circumstances under which this case comes before the Commission for review squarely place the issues discussed in Respondents' Opening Brief for decision.
3. Any decision in this matter likely will implicate fundamental questions regarding future administrative proceedings and penalties available to self-regulatory organizations and the Commission.
4. In light of the importance of these issues to both self-regulatory organizations and the Commission, oral argument would likely assist the Commission in considering these fundamental questions.

WHEREFORE, based on the foregoing, Respondents respectfully request that the Commission grant this motion for oral argument.

Dated: August 3, 2024

Respectfully submitted,

/s/ Adriaen M. Morse Jr.

Adriaen M. Morse Jr.

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CERTIFICATE OF SERVICE

In accordance with Rule 151 of the SEC Rules of Practice [17 CFR § 201.151], I hereby certify that a true copy of the forgoing Motion for Extension of Briefing Schedule was served on the following on this 3rd day of August, 2024, in the manner indicated below:

Via the Commission's Electronic Filings in Administrative Proceedings:

The Office of the Secretary
Securities and Exchange Commission
100 F Street, N.E.
Room 10915
Washington, D.C. 20549-1090

Via U.S. Mail and email to:

FINRA
Office of General Counsel
Attn: Michael M. Smith
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/s/ Adriaen M. Morse Jr.

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