Maranda E. Fritz MARANDA E. FRITZ, P.C.

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UNITED STATES OF AMERICA SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Application of

ALPINE SECURITIES CORPORATION,

For Review of Adverse Action Taken By

DEPOSITORY TRUST CORPORATION
AND NATIONAL SECURITIES
CLEARING CORPORATION

Admin. Proc. File No. 3-21924

AMENDMENT TO APPLICATION FOR REVIEW

Oral Argument Requested

To: The Office of the Secretary Securities and Exchange Commission

100 F Street NE

Washington, DC 20549

ALPINE SECURITIES CORPORATION ("Alpine") hereby amends its Application for Review dated April 30, 2024 to include a subsequent decision entered by DTCC on September 19, 2024 ordering Alpine to pay \$261,263.22 in "costs" associated with the underlying proceeding (order attached as Exhibit A). Alpine seeks review of each and every aspect of the September 19th decision including: (1) whether the determination that attorney fees, which represent \$233,539.00 of the ordered total, were properly ordered under a provision that allows for recovery of costs; (2) whether DTCC properly exercised its discretion in ordering Alpine to pay costs; and (3) whether the amounts charged by counsel for the DTCC panel were appropriate based on the nature of the

Dated: October 2, 2024

proceeding.

/s/ Maranda E. Fritz

Maranda E Fritz PC

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UNITED STATES OF AMERICA SECURITIES AND EXCHANGE COMMISSION

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CERTIFICATE OF SERVICE

THE DEPOSITORY TRUST AND
CLEARING CORPORATION AND
NATIONAL SECURITIES CLEARING
CORPORATION

I hereby certify that on October 2, 2024, I caused to be served a copy of Alpine Securities Corporation's Amendment to Application for Review on counsel for the Depository Trust and Clearing Corporation and National Securities Clearing Corporation via email to the following individuals:

Mark Harris – mharris@proskauer.com Margaret Dale – mdale@proskauer.com James Anderson – jaanderson@proskauer.com

Dated: October 2, 2024

/s/ Maranda E. Fritz