

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**

**File No. 3-21822**

**In the Matter of**

**JEFFREY ALAN HORN,**

**Respondent.**

**DIVISION OF ENFORCEMENT’S NOTICE OF PROOF OF SERVICE OF OIP**

Pursuant to Rule 141(a) of the Commission’s Rule of Practice, 17 C.F.R. § 201.141(a), the Division of Enforcement hereby submits this Notice of Proof of Service indicating that Respondent Jeffrey Alan Horn was served with the Order Instituting Administrative Proceedings and Notice of Hearing (“OIP”) on February 8, 2024, at FCI Williamsburg, 8301 Highway 521, Salters, SC.

Attached as composite Exhibit 1 are: (i) Declaration of Delaney Wyatt, Chief Operating Officer of Cavalier Courier and Process Service stating that the OIP was served on Jeffrey Alan Horn (Inmate Register No. 27340-509) on February 8, 2024 by Deputy April Johnson, a sheriff deputy of Williamsburg County, South Carolina Sheriff’s Office (“Sheriff’s Office”), who was assigned by the Sheriff’s Office to serve the OIP, on Respondent Jeffrey Horn, in accordance with relevant law and procedures at FCI Williamsburg Federal Correctional Facility for serving legal process on inmates; (ii) Affidavit/Return of Service executed by Deputy Johnson stating that she personally served the OIP and related papers on Jeffrey Horn on February 8, 2024 at FCI

Williamsburg, 8301 Highway 521, Salters, SC 29590; and (iii) print out of inmate search results from the Bureau of Prison website, <https://www.bop.gov/inmateloc/> as to Jeffrey Alan Horn.

Pursuant to the OIP and in accordance with Rule 220(b) of the Commission's Rules of Practice, 17 C.F.R. § 201.220(b), Respondent's Answer to the allegations contained in the OIP is due within twenty (20) days after service of the OIP on Respondent. The OIP was served on the Respondent on February 8, 2024. Accordingly, Respondent's Answer is due on February 28, 2024.

Dated: February 22, 2024.

Respectfully submitted,



Brian Lechich  
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DIVISION OF ENFORCEMENT  
SECURITIES AND EXCHANGE COMMISSION  
801 Brickell Avenue, Suite 1950  
Miami, FL 33131

**CERTIFICATE OF SERVICE**

Pursuant to Rule 150 of the Commission’s Rules of Practice, I hereby certify that on February 22, 2024, the foregoing Division of Enforcement’s Notice of Proof of Service of the Order Instituting Administrative Proceedings and Notice of Hearing was filed using the eFAP system and that a true and correct copy of said filing is being served via First Class U.S. Mail and Priority Mail, on the following person entitled to notice:

Jeffrey Alan Horn  
Register Number 27340-509  
FCI Williamsburg  
Federal Correctional Institution  
P.O. Box 340  
Salters, SC 29590



Brian Lechich  
Trial Counsel  
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Division of Enforcement's Notice of Proof of Service of OIP

In the Matter of Jeffrey Alan Horn

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## COMPOSITE EXHIBIT 1

- Declaration of Delaney Wyatt
- Affidavit of Service by Deputy Sheriff April Johnson
- Inmate Search Result printout from Bureau of Prison website