

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21726

In the Matter of

BRIAN BARTLETT AMOAH
and ELBERT “AL”
ELLIOTT,

Respondents.

DIVISION OF ENFORCEMENT’S
STATUS REPORT REGARDING
SERVICE OF ORDER INSTITUTING
PROCEEDING

Pursuant to the Commission’s Order Regarding Service, dated November 22, 2023, the Division of Enforcement states that the Office of the Secretary attempted to serve both of the Respondents with a copy of the Order Instituting Proceeding (“OIP”) by certified mail.

After the entry of the Commission’s Order, the Division requested service tracking information from the Office of the Secretary and was able to confirm that neither of the Respondents was served. The U.S. Postal Service appears to have made multiple attempts to deliver envelopes containing a copy of the OIP to the Respondents, but was unsuccessful. Both of the envelopes addressed to the Respondents have been returned to the Office of the Secretary.

Accordingly, the Division will retain a process server and attempt to serve the Respondents by personal service as provided in Rule 141(a)(2)(i) of the Commission’s Rules of Practice. If successful, the Division will promptly file a proof of service on eFAP. If that effort is not successful, the Division will file another status report regarding service on or before January 3, 2024.

Dated: December 6, 2023

By: /s/Robert M. Moye
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CERTIFICATE OF SERVICE

I hereby certify that on December 6, 2023, I caused a copy of the foregoing document to be filed with the Office of Secretary through the eFAP system, and sent by email to:

Brian B. Amoah

[REDACTED]

Elbert Elliott

[REDACTED]

/s/ Robert M. Moye
Robert M. Moye
Counsel for the Division of Enforcement