

**BEFORE THE
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC**

In the Matter of the Application of

Ricky Alan Mantei

For Review of

FINRA Disciplinary Action

File No. 3-21516

**FINRAS MOTION FOR LEAVE TO FILE A BRIEF
IN EXCESS OF LENGTH LIMITATIO**

FINRA moves the Commission for leave to file a brief in excess of the 14,000-word limit established by SEC Rule of Practice 450(c). FINRA's brief—due on or before November 30, 2023—responds to the opening brief filed by Applicant Ricky Alan Mantei on October 27, 2023. Counsel for Mr. Mantei certified the opening brief contained 13,358 word. The extra length of FINRA's brief is necessary to adequately respond to Mr. Mantei's arguments with the requisite citations to the record and supporting rules and case law. The undersigned contacted counsel for Mr. Mantei, who indicated that she does not oppose FINRA's request. FINRA respectfully requests that the Commission allow it to file a brief that will not exceed 17,000 words.

Respectfully submitted,

/s/ Megan Rauch

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November 30, 2023

CERTIFICATE OF SERVICE

I, Megan Rauch, certify that on this 20th day of November 2023, I caused a copy of the foregoing Motion for Leave to File a Brief in Excess of Length Limitation, *In the Matter of the Application of Ricky Alan Mantei*, Administrative Proceeding File No. 3-21516 to be served through the SEC's eFAP system on:

Vanessa Countryman, Secretary
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CERTIFICATE OF COMPLIANCE

I, Megan Rauch, certify that I have complied with the Commission's Rules of Practice by filing a motion that omits or redacts any sensitive personal information described in Rule of Practice 151(e).

/s/ Megan Rauch

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