

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

Admin. Proc. File No. 3-21345

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In the Matter of :

:

BERNARD M. PARKER :

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**DIVISION OF ENFORCEMENT'S  
STATUS REPORT REGARDING SERVICE**

The Division of Enforcement (“Enforcement”), by its undersigned counsel, respectfully submits this Status Report pursuant to the “Second Order Regarding Service” the Commission issued on July 23, 2024 (the “July 23 Order”) directing Enforcement to “file a status report concerning service of the [Order Instituting Proceedings (“OIP”)] by August 6, 2024, and every 28 days thereafter until service is accomplished.” The day after the July 23 Order, Enforcement filed a service declaration demonstrating that it had served the OIP on Bernard M. Parker in March 2023, as the Commission recognized in its more recent order on July 31, 2024 addressing potential default proceedings against Parker.


While the service issue is moot, the Division notes that as a result of an administrative oversight by Enforcement staff, the proof of service was not filed after Parker was served on

March 29, 2023 and Enforcement only recently viewed the Commission's August 2023 Order directing it to file a status report about serving the OIP.

In any event, Parker was timely served and, as reflected in the Commission's July 31, 2024 order, has not responded to the OIP and faces potential default. Enforcement is prepared to proceed in this matter consistent with the deadlines the Commission recently set.

Dated: August 5, 2024  
Philadelphia, Pennsylvania

Respectfully Submitted,

A large black rectangular redaction box covering the signature and name of the sender.

By: Judson T. Mihok  
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## CERTIFICATE OF SERVICE

I hereby certify that on August 5, 2024, I served a copy of the foregoing Division of Enforcement's Status Report Regarding Service, with Attachment A, Proof of Service, by sending a copy of the same via email to:

[REDACTED]

and forwarding a copy of same to UPS for service (signature requested) upon:

Bernard M Parker

[REDACTED]

[REDACTED]

By: Judson T. Mihok  
Attorney for Division of Enforcement