

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21303

In the Matter of


Bradley C. Reifler,

Respondent.

**NOTICE OF ORDER MAKING
FINDINGS AND IMPOSING
REMEDIAL SANCTIONS
PURSUANT TO SECTION 203(F)
OF THE INVESTMENT
ADVISERS ACT OF 1940**

The Division of Enforcement (“Division”) hereby gives notice that on November 20, 2023, the Commission issued the attached Order Making Findings and Imposing Remedial Sanctions Pursuant to Section 203(f) of the Investment Advisers Act of 1940,¹ which fully resolves this administrative proceeding.

Respectfully submitted this 22nd day of November 2023.


Christopher E. Martin, Esq.
Terry R. Miller, Esq.
Securities and Exchange Commission- ENF
Denver Regional Office
1961 Stout Street, Ste. 1700
Denver, CO 80294

¹ See attached Exhibit A.

CERTIFICATE OF SERVICE

I hereby certify that a true copy of the Division of Enforcement's Notice of Order was served on the following on this 22nd day of November 2023, in the manner indicated below:

Securities and Exchange Commission
Vanessa Countryman, Secretary
100 F Street, N.E.
Mail Stop 1090
Washington, D.C. 20549
(Via eFap filing system)

Inmate Mr. Bradley C. Reifler
Register Number 20251-509
USP Lewisberg
U.S. Penitentiary
Satellite Camp
P.O. BOX 2000
Lewisburg, PA 17837
Respondent Reifler
(Via U.S. Mail Certified)

s/ Jennifer Shelby