

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21302**

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**In the Matter of**

**EMILIO BARRERA, JR**  
**(A/K/A MIKE BARRERA),**

**Respondent.**

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**DIVISION OF ENFORCEMENT'S**  
**NOTICE OF SERVICE UPON**  
**OF EMILIO BARRERA, JR.**  
**(A/K/A MIKE BARRERA)**

Pursuant to the Court's June 15, and August 10, 2023 Orders (Rel. Nos. 97731 and 98104), the Division of Enforcement provides notice that Respondent Barrera was served by personal service on August 19, 2023. A copy of the Return of Service is attached hereto as Exhibit A.

Dated: August 23, 2023

Respectfully submitted,



Jennifer D. Reece  
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U. S. Securities and Exchange Commission  
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COUNSEL FOR THE DIVISION OF  
ENFORCEMENT

**CERTIFICATE OF SERVICE**

In accordance with Rule 150 and 151 of the Commission's Rules of Practice, I hereby certify that a true and correct copy of the foregoing *Division of Enforcement's Notice of Service on Respondent Emilio Barrera* was served on the following persons on August 23, 2023:

***By Certified Mail, Return Receipt Requested:***

Emilio Barrera, Jr. a/k/a Mike Barrera  
c/o Collin County Jail  
4300 Community Ave.  
McKinney, TX 75071  
*Respondent, Pro Se*

*/s/ Jennifer D. Reece*  
Jennifer D. Reece