

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6217/January 17, 2023

ADMINISTRATIVE PROCEEDING
File No. 3-21278

In the Matter of

THOMAS GARNETTE MARTIN, JR.,

Respondent.


Division's Notice of Service Upon
Respondent of Order Administrative
Proceedings

The Division of Enforcement ("Division") hereby files this Notice of Service Upon Respondent of the Order Instituting Proceedings ("OIP"), stating as follows:

Respondent Thomas Garnette Martin, Jr. was served with the OIP on Friday, January 27, 2023. Details regarding service of the OIP are set forth in the attached proof of service of a process server, Barbara Green.

Dated: February 6, 2023.

Respectfully submitted,


Robert F. Schroeder
M. Graham Loomis
U.S. Securities and Exchange Commission
950 East Paces Ferry Road., N.E., Suite 900
Atlanta, Georgia 30326-1382
(404) 942-0688 (Schroeder telephone)
schroederr@sec.gov
(404) 842-6622 (Loomis telephone)
loomism@sec.gov
Counsel for the Division of Enforcement

Return of Service

UNITED STATES OF AMERICA
Securities and Exchange Commission

In the Matter of Thomas Garnette Martin, Jr.

Case Number: 3-21278

On behalf of:
U.S. Securities and Exchange Commission
100 F St NE
Washington, DC 20549

Received by Cavalier to be served on Thomas G. Martin Jr. at [REDACTED]

I, Barbara Green, being duly sworn, depose and say that on January 27, 2023 at or about 9:30 AM I served Order Instituting Administrative Proceedings Pursuant to Section 203(f) of the Investment Advisers Act of 1940 and Notice of Hearing; Service List; Party Letter personally to Thomas G. Martin Jr. at [REDACTED]

I am a natural person over the age of eighteen and am not a party or otherwise interested in the subject matter in controversy. I am a private process server authorized to serve this process in accordance with relevant law. Under penalty of perjury, I declare that the foregoing is true and correct.

[REDACTED]

Barbara Green
Cavalier CPS
823-C S. King St.
Leesburg, VA 20175
Our Job Number: 2023-105974
Reference: 23-ARO-009

Date