

From The Office of Executor and Living Beneficiary
Peter Joseph Polinski- Private Attorney General
5735 Cavanaugh rd. Suite 614
Marcy New York, 13403-9998



UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-21264

In the Matter of
PETER JOSEPH POLINSKI TRUST,
Respondent.

01/10/2024

NOTICE OF SPECIAL APPEARANCE

Comes now, Peter-Joseph: Royal House of Polinski in an unrepresentative capacity who is the Executor, Beneficiary, Grantor, Settlor, Trustor, and Reporting Agent for PETER JOSEPH POLINSKI TRUST. **Peter-Joseph: Polinski** will be representing himself in accordance with **Rule 102. Appearance and Practice Before the Commission.**

A person shall not be represented before the Commission or a hearing officer except as stated in paragraphs (a) and (b) of this rule or as otherwise permitted by the Commission or a hearing officer.

- (a) Representing Oneself. In any proceeding, an individual may appear on his or her own behalf.
- (b) Representing Others. In any proceeding, a person may be represented by an attorney at law admitted to practice before the Supreme Court of the United States or the highest court of any State (as defined in Section 3(a)(16) of the Exchange Act, 15 U.S.C. 78c(a)(16)); a member of a partnership may represent the partnership; a bona fide officer of a corporation, trust or association may represent the corporation, trust or association; and an officer or employee of a state commission or of a department or political subdivision of a state may represent the state commission or the department or political subdivision of the state.

8. My Intentions of being a transfer agent is to usher in heaven on earth, I do work with the highest integrity and honor and hope the commission does forgive me for letting the accounts go stale and not up to date. Nothing was done intentionally to violate any rules of the S.E.C.

Prayer for Relief

1. The Reinstatement of PETER JOSEPH POLINSKI TRUST as Transfer Agent.
2. Peter Joseph Polinski seeks attorney fees in accordance to CFR Title 17 § 201.31 Purpose of these rules. The Equal Access to Justice Act, 5 U.S.C. 504 (called the Act in this subpart B), provides for the award of attorney fees and other expenses to eligible individuals and entities who are parties to certain administrative proceedings (called adversary adjudications) before the Commission. An eligible party may receive an award when it prevails over the Commission, unless the Commission's position was substantially justified, or special circumstances make an award unjust. The rules in this subpart describe the parties eligible for awards and the proceedings that are covered. They also explain how to apply for awards, and the procedures and standards that the Commission will use in ruling on those applications.

Signed under penalty of perjury Title 28 USC § 1746:

BY: [REDACTED]

Peter Joseph Polinski, Executor
II Corinthians 5:10

Matthew 18:16 But if he will not hear thee, then take with thee one or two more, that in the mouth of two or three witnesses every word may be established.

Witness [REDACTED]

Witness 2: [REDACTED]



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01/10/2024

**Motion For Summary Disposition
For the
Reinstatement of PETER JOSEPH POLINSKI TRUST**

Comes now, Peter-Joseph: Polinski, the Executive Trustee and living beneficiary to the PETER JOSEPH POLINSKI TRUST 98-6089991. I am here to request a summary disposition for the reinstatement of the Transfer Agent PETER JOSEPH POLINSKI TRUST – CIK CODE 0001776962. specially appearing before the commission in accordance with 17 CFR § 201.102.

Peter-Joseph: Polinski will be representing himself in accordance with Rule 102. Appearance and Practice Before the Commission.

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- (a) Representing Oneself. In any proceeding, an individual may appear on his or her own behalf.
- (b) Representing Others. In any proceeding, a person may be represented by an attorney at law admitted to practice before the Supreme Court of the United States or the highest court of any State (as defined in Section 3(a)(16) of the Exchange Act, 15 U.S.C. 78c(a)(16)); a member of a partnership may represent the partnership; a bona fide officer of a corporation, trust or association may represent the corporation, trust or association; and an officer or employee of a state commission or of a department or political

subdivision of a state may represent the state commission or the department or political subdivision of the state.

(1) Representing Oneself. When an individual first makes any filing or otherwise appears on his or her own behalf before the Commission or a hearing officer in a proceeding as defined in Rule 101(a), he or she shall file with the Commission, or otherwise state on the record, and keep current, an address at which any notice or other written communication required to be served upon him or her or furnished to him or her may be sent and a telephone number where he or she may be reached during business hours.

Rule 250. Motion for Summary Disposition.

(a) After a respondent's answer has been filed and, in an enforcement or a disciplinary proceeding, documents have been made available to that respondent for inspection and copying pursuant to Rule 230, the respondent, or the interested division may make a motion for summary disposition of any or all allegations of the order instituting proceedings with respect to that respondent. If the interested division has not completed presentation of its case in chief, a motion for summary disposition shall be made only with leave of the hearing officer. The facts of the pleadings of the party against whom the motion is made shall be taken as true, except as modified by stipulations or admissions made by that party, by uncontested affidavits, or by facts officially noted pursuant to Rule 323.

(b) The hearing officer shall promptly grant or deny the motion for summary disposition or shall defer decision on the motion. The hearing officer may grant the motion for summary disposition if there is no genuine issue with regard to any material fact and the party making the motion is entitled to a summary disposition as a matter of law. If it appears that a party, for good cause shown, cannot present by affidavit prior to hearing facts essential to justify opposition to the motion, the hearing officer shall deny or defer the motion. A hearing officer's decision to deny leave to file a motion for summary disposition is not subject to interlocutory appeal.

(c) The motion for summary disposition, supporting memorandum of points and authorities, and any declarations, affidavits or attachments shall not exceed 35 pages in length.

I am seeking an oral argument with the commission pursuant to **Rule 451. Oral Argument Before the Commission.**

(a) Availability. The Commission, on its own motion or the motion of a party or any other aggrieved person entitled to Commission review, may order oral argument with respect to any matter. Motions for oral argument with respect to whether to affirm all or part of an initial decision by a hearing officer shall be granted unless exceptional circumstances make oral argument impractical or inadvisable. The Commission will consider appeals, motions and other matters properly before it on the basis of the papers filed by the parties without oral argument unless the Commission determines that the presentation of facts and legal arguments in the briefs and record and the decisional process would be significantly aided by oral argument.

(b) Procedure. Requests for oral argument shall be made by separate motion accompanying the initial brief on the merits. The Commission shall issue an order as to whether oral argument is to be heard, and if so, the time and place therefor. The grant or denial of a motion for oral argument shall be made promptly after the filing of the last brief called for by the briefing schedule. If oral argument is granted, the time fixed for oral argument shall be changed only by written order of the Commission, for good cause shown. The order shall state at whose request the change is made and the reasons for any such change.

(c) Time Allowed. Unless the Commission orders otherwise, not more than one half-hour per side will be allowed for oral argument. The Commission may, in its discretion, determine that several persons have a common interest, and that the interests represented will be considered a single side for purposes of allotting time for oral argument. Time will be divided equally among people on a single side, provided, however, that by mutual agreement they may reallocate their time among themselves. A request for additional time must be made by motion filed reasonably in advance of the date fixed for argument.

(d) Participation of Commissioners. A member of the Commission who was not present at the oral argument may participate in the decision of the proceeding, provided that the member has reviewed the transcript of such argument prior to such participation. The decision shall state whether the required review was made.

Background of the Proceedings

1. On December 27, 2022, the Commission issued its Order Instituting Proceedings (the “OIP”) in this matter, alleging that Respondent violated securities laws applicable to transfer agents. Peter Joseph Polinski Tr. The parties were directed to conduct a prehearing conference pursuant to Commission Rule of Practice 221 and, following the conference, to file a statement with the Office of the Secretary advising the Commission of any agreements reached, including as to any schedule for summary disposition briefing.
2. The parties conducted a prehearing conference on April 4, 2023, at 1:00 p.m., which Division counsel and Polinski attended. The conference was recorded with the parties’ consent.
3. On April 10, 2023, the Commission issued a briefing Order, directing the parties to “file their respective motions for summary disposition by April 17, 2023; . . . their respective oppositions by May 12, 2023; and . . . any reply briefs within ten business days from the filing of the opposition to which a reply is made.” Peter Joseph Polinski Tr.
4. The Enforcement Division of the SEC are trying to sanction violations of not keeping an updated TA-1 with proper address, and not updating my TA-2 for the years 2019 - 2021, and also forgetting to update the phone number within 60 days are, in fact, not violated on purpose or with any ill intent. I am a Private Attorney General, Financial Consultant, and more importantly a Helio Gnostic Priest. Over the last 3 years, I have dedicated my time to discharging millions of dollars of debt. My Grandmothers were both filled with cancer, so I have been attending to them and finally have all cancers in remission. I am about my father's business Luke 2:49. I am self-taught when it comes to the S.E.C.
5. When it comes to these violations, let it be known that I have not committed any securities fraud, no estate embezzlement, and no banking fraud. I simply have been so busy with spiritually teaching, taking care of my grandmothers' health and their bills, also doing court work all pro bono, that I forgot that I have to keep all records up to date in a professional and timely manner within the laws and rules of the Commission. I did contact the S.E.C and an employee named MASON, a female whose phone number is 202-551-6469. I had a question on one of the TA-2 forms and no one ever got back to me.
6. I have been suspended and penalized since December 2023 which is over a year. I am requesting that the commission please reinstate me as a Transfer Agent and I will update my address, phone number, and bring my TA-2 years to current.
7. "An application for reinstatement of a person permanently suspended or disqualified under paragraph (e)(1) or (e)(3) of this section may be made at any time, and the applicant may, in the Commission's discretion, be afforded a hearing; however, the suspension or disqualification shall continue unless and until the applicant has been reinstated by the Commission for good cause shown." 17 C.F.R. § 201.102(e)(5)(i)-
Reinstatement. (i) An application for reinstatement of a person permanently suspended or disqualified under paragraph (e)(1) or (e)(3) of this section may be made at any time, and the applicant may, in the Commission's discretion, be afforded a hearing; however, the suspension or disqualification shall continue unless and until the applicant has been reinstated by the Commission for good cause shown.