

**BEFORE THE
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C.**

In the Matter of the Application of

The Association of Matthew Iverson
With Alpine Securities Corporation

For Review of Denial of Registration by

FINRA

File No. 3-21228

**FINRA’S MOTION TO DISMISS APPEAL AS ABANDONED
AND STAY THE BRIEFING SCHEDULE**

Pursuant to SEC Rules of Practice 154 and 180(c), FINRA moves to dismiss the application for review filed by Matthew Iverson and Alpine Securities Corporation as abandoned. Applicants have not filed a brief in support of their application for review by the January 6, 2023 deadline established by the Commission in this proceeding. Nor have they requested an extension of time to file their brief. In support of its motion, FINRA states:

1. On October 3, 2022, FINRA issued a decision denying Alpine Securities Corporation’s application to employ Iverson notwithstanding his statutory disqualification (the “Decision”). (RP 2207-34.)¹ Applicants, through counsel, filed an application for review of the Decision on November 2, 2022.

2. On December 7, 2022, the Commission issued an Order Scheduling Briefs (the “Order”). The Order required applicants to file a brief in support of their application for review

¹ “RP” refers to the page number in the certified record.

by January 6, 2023. The Order informed applicants that “failure to file a brief in support of the application may result in dismissal of this review proceeding.”

3. Upon information and belief, applicants did not file a brief with the Commission by the January 6, 2023 deadline established by the Order and, to date, applicants have not filed a brief and the undersigned has not been served with a copy of any brief from applicants.

4. SEC Rule of Practice 180(c) provides that the Commission may dismiss a case if a party fails to make a filing required under the Rules of Practice. Under the circumstances, the Commission should treat applicants’ appeal as abandoned because they have failed to file a brief by the deadline established by the Order.

5. Finally, FINRA’s brief in opposition is due on February 6, 2023. FINRA requests that the Commission stay the briefing schedule while this motion remains pending.

Respectfully submitted,

/s/ Andrew Love

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January 18, 2023

CERTIFICATE OF COMPLIANCE

I, Andrew Love, certify that I have complied with the Commission's Rules of Practice by filing a motion that omits or redacts any sensitive personal information described in Rule of Practice 151(e).

/s/ Andrew Love

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Dated: January 18, 2023

CERTIFICATE OF SERVICE

I, Andrew Love, certify that on this 18th day of January 2023, I caused a copy of the foregoing Motion to Dismiss Appeal as Abandoned and Stay the Briefing Schedule, Administrative Proceeding File No. 3-21228, to be filed through the SEC's eFAP system on:

Vanessa Countryman, Secretary
Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549-1090

and served by electronic mail on:

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/s/ Andrew Love

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