

1 Jason Schwarz
[REDACTED]
[REDACTED]

3
4 BEFORE THE
5 SECURITIES AND EXCHANGE COMMISSION
6 UNITED STATES OF AMERICA

6 In the matter of) Administrating Proceeding
7 JASON SCHWARZ,) File No. 3-21202
8 Respondent.) **Reply to Order Instituting**
9) **Administrative Proceedings**
10) **Pursuant to Section 203(f)**
11) **of the Investment Advisers**
12) **Act of 1940 and Notice of**
13) **Hearing**

12 Respondent Jason Schwarz issues the following reply to the
13 Division of Enforcement allegations:

14 A. RESPONDENT

15 1. DENY

16 B. RESPONDENT'S CRIMINAL CONVICTION

17 2. ADMIT

18 3. DENY

19 4. DENY

20 Respectfully submitted,
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DATED: January 23, 2023 I declare the foregoing is true and correct under penalty of perjury.

x. 

Jason Schwarz 
 
 