

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21152

In the Matter of

**JOSE LUIS CASERO
SANCHEZ,**

Respondent.

**DIVISION OF ENFORCEMENT'S FINAL
STATUS REPORT CONCERNING
SERVICE OF ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS**

The Division of Enforcement (“Division”) respectfully submits this final status report pursuant to the Order Regarding Service dated February 15, 2023, and states as follows:

As set forth in the Eleventh Status Report, the Division served Respondent Jose Luis Casero Sanchez (“Respondent”) electronically with the Order Instituting Administrative Proceedings (“OIP”) on November 15, 2023, as to which Respondent confirmed receipt.

Notwithstanding that Respondent was served electronically, the Division attempted to serve Respondent personally. However, on December 4, 2023, Respondent emailed counsel for the Division in English and advised that he has no current address and requested to be served with any papers electronically. (A copy of Respondent’s December 4, 2023 email is attached hereto as Exhibit A.) When Respondent confirmed electronic receipt of the Eleventh Status Report on December 21, 2023, he again advised that he did not have a physical address to provide the Division and expressly consented to being served electronically in this proceeding. (A copy of Respondent’s December 21, 2023 email is attached hereto as Exhibit B.)

In accordance with 17 CFR 201.141(a)(iv)(B) or (C), the Division may serve Respondent either by “any internationally agreed means of service that is reasonably calculated to give

notice” or “any method that is reasonably calculated to give notice” under the circumstances set forth therein. Respondent has requested and consented to electronic service and confirmed receipt of the emails sent to him, including the OIP. Email service is not prohibited by federal law or international agreement. *See, e.g., Aircraft Engine Lease Finance, Inc. v. Plus Ultra Lineas Aereas, S.A.*, Civ. A. No. 21-1758, 2021 WL 6621578, at *2 (S.D.N.Y. Apr. 23, 2021) (finding “that neither federal law nor international agreement” barred service via email on party in Spain); *Lexmark Int’l, Inc. v. Ink Technologies Printer Supplies, LLC*, 2013 WL 12178588, at *4 (S.D. Ohio Aug. 21, 2013) (citations omitted) (“As for email, courts have agreed that service by email is not prohibited by the Hague convention.”). Therefore, Respondent, who has sufficient notice of the proceeding, has been served with the OIP.

The Division is filing simultaneously herewith a Certificate of Service of the OIP.

Because the Division has now served Respondent with the OIP, no further status reports are required.

Dated: December 26, 2023

Respectfully Submitted,




Kara F. Sweet
Securities and Exchange Commission
Philadelphia Regional Office
One Penn Center
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Philadelphia, PA 19103
(215) 861-9607 (telephone)
(215) 597-2740 (facsimile)
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Counsel for Division of Enforcement

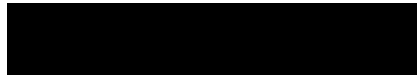
CERTIFICATE OF SERVICE

I hereby certify that on December 26, 2023 I caused a true and correct copy of the Division of Enforcement's Final Status Report Concerning Service of Order Instituting Administrative Proceedings to be served via email on Respondent at

 and filed by the eFAP system and served upon the following:

Vanessa A. Countryman, Secretary
Office of the Secretary
Securities and Exchange Commission
100 F Street, NW
Washington, DC 20549-1090

Respectfully submitted,



Kara F. Sweet
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Counsel for the Division of Enforcement

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THE DIVISION'S INDEX OF ATTACHMENTS

<u>Attachment</u>	<u>Description</u>
Exhibit A	December 4, 2023 email from Respondent
Exhibit B	December 21, 2023 email from Respondent