

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21152**

**In the Matter of**

**JOSE LUIS CASERO  
SANCHEZ,**

**Respondent.**

**DIVISION OF ENFORCEMENT'S  
ELEVENTH STATUS REPORT  
CONCERNING SERVICE OF ORDER  
INSTITUTING ADMINISTRATIVE  
PROCEEDINGS**

The Division of Enforcement (“Division”) respectfully submits its eleventh status report pursuant to the Order Regarding Service dated February 15, 2023, and states as follows:

On November 11, 2023, Respondent contacted counsel for the Division from his email address at [REDACTED]. Respondent asked what was needed from him and indicated that he would not execute any documents as he did not have funds for counsel. A copy of the certified translation of the correspondence is attached hereto as Exhibit 1.

On November 15, 2023, counsel for the Division sent Respondent the filings in the litigation that had been translated, which included the Order Instituting Administrative Proceedings. Respondent confirmed receipt of the email that same day. A copy of the certified translation of the email and attachment transmitted to Respondent is attached hereto as Exhibit 2.

The Division then had the additional filings in this matter to date translated and emailed a copy of same to Respondent on December 1, 2023. A copy of the email attaching the certified translations is attached hereto as Exhibit 3.


Although Respondent now has confirmed that he has been notified of this proceeding via email, the Division understands that proper service must still be effected. To that end, the

Division is continuing efforts to locate a home or business address for Respondent to allow for the Spanish authorities and/or the Office of Foreign Litigation to effect service. If such efforts to serve Respondent are unsuccessful, the Division will continue to evaluate whether it may be appropriate to seek leave to effect service by alternative means consistent with SEC Rule of Practice 141.

Pursuant to the Order Regarding Service dated February 15, 2023, the Division will file a further status report on December 29, 2023.

Dated: December 1, 2023

Respectfully Submitted,

  
Kara F. Sweet  
Securities and Exchange Commission  
Philadelphia Regional Office  
One Penn Center  
1617 JFK Boulevard, Suite 520  
Philadelphia, PA 19103  
(215) 861-9607 (telephone)  
(215) 597-2740 (facsimile)  
[sweetk@sec.gov](mailto:sweetk@sec.gov)

*Counsel for Division of Enforcement*


**CERTIFICATE OF SERVICE**

I hereby certify that on December 1, 2023 I caused a true and correct copy of the Division of Enforcement's Eleventh Status Report Concerning Service of Order Instituting Administrative Proceedings to be filed and served by the eFAP system upon the following:

Vanessa A. Countryman, Secretary  
Office of the Secretary  
Securities and Exchange Commission  
100 F Street, NW  
Washington, DC 20549-1090

An additional certificate of service will be filed after the Division of Enforcement effects personal service of this document and the Order Instituting Administrative Proceedings upon the respondent, Jose Luis Casero Sanchez.

Respectfully submitted,

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Kara F. Sweet  
Securities and Exchange Commission  
Philadelphia Regional Office  
One Penn Center  
1617 JFK Blvd., Ste. 520  
Philadelphia, Pa. 19103  
(215) 861-9607 (telephone)  
(215) 597-2740 (facsimile)  
[SweetK@sec.gov](mailto:SweetK@sec.gov)

*Counsel for the Division of Enforcement*

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**THE DIVISION'S INDEX OF ATTACHMENTS**

<b><u>Attachment</u></b>	<b><u>Description</u></b>
Exhibit 1	Certified translation of the November 11, 2023 email from Respondent
Exhibit 2	Certified translation of the November 15, 2023 email to Respondent
Exhibit 3	December 1, 2023 email to Respondent attaching certified translations