

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21152**

**In the Matter of**

**JOSE LUIS CASERO  
SANCHEZ,**

**Respondent.**

**DIVISION OF ENFORCEMENT'S NINTH  
STATUS REPORT CONCERNING  
SERVICE OF ORDER INSTITUTING  
ADMINISTRATIVE PROCEEDINGS**

The Division of Enforcement ("Division") respectfully submits its ninth status report pursuant to the Order Regarding Service dated February 15, 2023, and states as follows:

On September 8, 2023, counsel for the Division was notified that the Spanish authorities sought a copy of Respondent's Documento Nacional de Identidad to run a property search in an attempt to locate Respondent in response to the Division's Hague Service Convention request. Such information was provided, and on September 28, 2023, the Spanish authorities advised the Division that it would run the property search and report back. To date, the Division has not heard whether the Spanish authorities have been able to locate any property in Respondent's name.

The Division still has not received a reply to any of its messages to Respondent via the email addresses the Division referenced in its earlier status reports. On October 3, 2023, counsel for the Division again attempted to contact Respondent at [REDACTED], which is the only email address the Division has identified that did not result in an undeliverable message in reply. However, Respondent did not reply to the message and has not contacted counsel for the Division to date.

The Division will continue its efforts to contact and serve Respondent, including by coordinating with the Spanish authorities. If the Spanish authorities are not able to serve Respondent, the Division will continue to evaluate whether it may be appropriate to seek leave to effect service by alternative means consistent with SEC Rule of Practice 141.

Pursuant to the Order Regarding Service dated February 15, 2023, the Division will file a further status report on November 3, 2023.

Dated: October 6, 2023

Respectfully Submitted,

A solid black rectangular box used to redact the signature of Kara F. Sweet.

Kara F. Sweet  
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*Counsel for Division of Enforcement*

### **CERTIFICATE OF SERVICE**

I hereby certify that on October 6, 2023 I caused a true and correct copy of the Division of Enforcement's Ninth Status Report Concerning Service of Order Instituting Administrative Proceedings to be filed and served by the eFAP system upon the following:

Vanessa A. Countryman, Secretary  
Office of the Secretary  
Securities and Exchange Commission  
100 F Street, NW  
Washington, DC 20549-1090

An additional certificate of service will be filed after the Division of Enforcement effects personal service of this document and the Order Instituting Administrative Proceedings upon the respondent, Jose Luis Casero Sanchez.

Respectfully submitted,



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*Counsel for the Division of Enforcement*