

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21152

In the Matter of

**JOSE LUIS CASERO
SANCHEZ,**

Respondent.

**DIVISION OF ENFORCEMENT'S FIFTH
STATUS REPORT CONCERNING
SERVICE OF ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS**

The Division of Enforcement (“Division”) respectfully submits its fifth status report pursuant to the Order Regarding Service dated February 15, 2023, and states as follows:

As set forth in prior status reports, the Division requested that the Spanish Ministry of Justice (the “Ministry”) serve Respondent Jose Luis Casero Sanchez (“Respondent”) under the Hague Service Convention several months ago. The Ministry confirmed receipt of the Division’s request on December 1, 2022.

The Ministry contacted the Division regarding its Hague Service Convention request on June 14, 2023. It provided records reflecting two attempts to serve Respondent at his last-known address in Spain: one on April 24, 2023, and one on May 8, 2023. Both attempts were unsuccessful. During the second attempt, according to the Ministry’s records, a neighbor told the process server that Respondent’s family still lived at Respondent’s last-known address. However, the neighbor also said that Respondent himself had moved abroad. The neighbor reportedly offered no further information regarding the Respondent’s whereabouts.

A copy of the Ministry’s summary of its service attempts and a certified translation of this record are attached to this Status Report as Exhibit 1.

The Division has meanwhile received no reply to its messages to Respondent via the email addresses the Division referenced in its earlier status reports. Respondent has not contacted counsel for the Division to date.

The Division will continue its efforts to contact and serve Respondent. The Division will meanwhile continue to evaluate whether it may be appropriate to seek leave to effect service by alternative means consistent with SEC Rule of Practice 141, particularly in light of this unsuccessful attempt at service via the Hague Service Convention.

Pursuant to the Order Regarding Service dated February 15, 2023, the Division will file a further status report on July 14, 2023.

Dated: June 16, 2023

Respectfully Submitted,



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CERTIFICATE OF SERVICE

I hereby certify that, on June 16, 2023, I caused a true and correct copy of the Division of Enforcement's Fifth Status Report Concerning Service of Order Instituting Administrative Proceedings to be filed and served by the eFAP system upon the following:

Vanessa A. Countryman, Secretary
Office of the Secretary
Securities and Exchange Commission
100 F Street, NW
Washington, DC 20549-1090

An additional certificate of service will be filed after the Division of Enforcement effects personal service of this document and the Order Instituting Administrative Proceedings upon the respondent, Jose Luis Casero Sanchez.

Respectfully submitted,



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