

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21152

In the Matter of

**JOSE LUIS CASERO
SANCHEZ,**

Respondent.

**DIVISION OF ENFORCEMENT'S
FOURTH STATUS REPORT
CONCERNING SERVICE OF ORDER
INSTITUTING ADMINISTRATIVE
PROCEEDINGS**

The Division of Enforcement (“Division”) respectfully submits its fourth status report pursuant to the Order Regarding Service dated February 15, 2023, and states as follows:

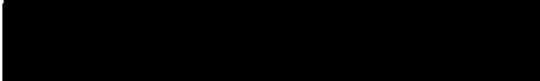
The Spanish Ministry of Justice is processing the Division’s request to serve Respondent Jose Luis Casero Sanchez (“Respondent”) pursuant to the Hague Service Convention. The Spanish Ministry of Justice has not contacted the Division since confirming receipt of this request on December 1, 2022. The Division has meanwhile received no reply to its messages to Respondent via the email addresses the Division referenced in its earlier status reports. Respondent has not contacted counsel for the Division to date.

The Division will continue its efforts to contact and serve Respondent while its Hague Service Convention request is pending in Spain. The Division will meanwhile evaluate whether it may be appropriate to seek leave to effect service by alternative means consistent with SEC Rule of Practice 141.

Pursuant to the Order Regarding Service dated February 15, 2023, the Division will file a further status report on June 16, 2023.

Dated: May 19, 2023

Respectfully Submitted,



Spencer Willig
Securities and Exchange Commission
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CERTIFICATE OF SERVICE

I hereby certify that, on May 19, 2023, I caused a true and correct copy of the Division of Enforcement's Fourth Status Report Concerning Service of Order Instituting Administrative Proceedings to be filed and served by the eFAP system upon the following:

Vanessa A. Countryman, Secretary
Office of the Secretary
Securities and Exchange Commission
100 F Street, NW
Washington, DC 20549-1090

An additional certificate of service will be filed after the Division of Enforcement effects personal service of this document and the Order Instituting Administrative Proceedings upon the respondent, Jose Luis Casero Sanchez.

Respectfully submitted,



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