

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21152

In the Matter of

**JOSE LUIS CASERO
SANCHEZ,**

Respondent.

**DIVISION OF ENFORCEMENT'S
SECOND STATUS REPORT
CONCERNING SERVICE OF ORDER
INSTITUTING ADMINISTRATIVE
PROCEEDINGS**

The Division of Enforcement (“Division”) respectfully submits its second status report pursuant to the Order Regarding Service dated February 15, 2023, and states as follows:

As the Division stated in its Status Report Concerning Service of Order Instituting Administrative Proceedings of February 24, 2023, the Spanish Ministry of Justice is processing the Division’s request to serve Respondent Jose Luis Casero Sanchez (“Respondent”) pursuant to the Hague Service Convention. The Spanish Ministry of Justice has not contacted the Division since confirming receipt of its request to serve the Respondent on December 1, 2022.

On March 23, 2023, counsel for the division attempted to contact the Respondent via four email addresses referenced in the Respondent’s related District Court action, *United States Securities and Exchange Commission v. Sanchez, et al.*, Case No. 1:21-cv-8085 (S.D.NY., filed Sept. 29, 2021). Three of those email addresses [REDACTED]

[REDACTED] “bounced back” each of the Division’s messages as undeliverable. The Division’s message to a fourth address

[REDACTED] may have been delivered successfully. Notably, the Office of the Secretary also attempted to contact the Respondent via this email address in September of

2022. Respondent has nevertheless neither appeared in this proceeding nor contacted counsel for the Division.

The Division will continue its efforts to contact and serve the Respondent while its Hague Service Convention request is pending in Spain. Pursuant to the Order Regarding Service dated February 15, 2023, the Division will file a further status report on April 21, 2023.

Dated: March 24, 2023

Respectfully Submitted,



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CERTIFICATE OF SERVICE

I hereby certify that, on March 24, 2023, I caused a true and correct copy of the Division of Enforcement's Second Status Report Concerning Service of Order Instituting Administrative Proceedings to be filed and served by the eFAP system upon the following:

Vanessa A. Countryman, Secretary
Office of the Secretary
Securities and Exchange Commission
100 F Street, NW
Washington, DC 20549-1090

An additional certificate of service will be filed after the Division of Enforcement effects personal service of this document and the Order Instituting Administrative Proceedings upon the respondent, Jose Luis Casero Sanchez.

Respectfully submitted,



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