

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6200

ADMINISTRATIVE PROCEEDING
File No. 3-21143

In the Matter of

ELBERT L. HARDIMAN,
JR.,

Respondent.


DIVISION OF ENFORCEMENT'S STATUS
REPORT

The Division of Enforcement ("Division") files this status report pursuant to the April 4, 2023, Second Order Regarding Service in the above-referenced matter, as follows:

- (1) The Division attempted to serve Respondent by mail but did not obtain a confirmation of receipt;
- (2) The Division has advised the process server to discontinue its service efforts since it was unable to locate Respondent; and
- (3) The Division has not uncovered new information regarding Respondent's whereabouts.

Dated: December 6, 2023

Respectfully submitted,


Robert F. Schroeder
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Counsel for the Division of Enforcement

CERTIFICATE OF SERVICE

I certify that on December 6, 2023, I caused the foregoing **DIVISION OF ENFORCMENT'S STATUS REPORT** to be served on Respondent as follows:

By UPS Overnight Delivery

Elbert L. Hardiman, Jr.



Robert F. Schroeder