

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**INVESTMENT ADVISERS ACT OF 1940**  
**Release No. 6200**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21143**

**In the Matter of**

**ELBERT L. HARDIMAN,**  
**JR.,**

**Respondent.**

**DIVISION OF ENFORCEMENT'S STATUS**  
**REPORT**

The Division of Enforcement ("Division") files this status report pursuant to the April 4, 2023, Second Order Regarding Service in the above-referenced matter, as follows:

- (1) The Division attempted to serve Respondent by mail but did not obtain a confirmation of receipt;
- (2) The Division has advised the process server to discontinue its service efforts because it was unable to locate Respondent; and
- (3) The Division has not uncovered new information regarding Respondent's whereabouts.

Dated: January 3, 2024

Respectfully submitted,

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Robert F. Schroeder  
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*Counsel for the Division of Enforcement*


**CERTIFICATE OF SERVICE**

I certify that on January 3, 2024, I caused the foregoing **DIVISION OF ENFORCMENT'S STATUS REPORT** to be served on Respondent as follows:

**By UPS Overnight Delivery**

Elbert L. Hardiman, Jr.



  
Robert F. Schroeder