UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940 Release No. 6200

ADMINISTRATIVE PROCEEDING File No. 3-21143

In the Matter of

ELBERT L. HARDIMAN, JR.,

Respondent.

DIVISION OF ENFORCEMENT'S STATUS REPORT

The Division of Enforcement ("Division") files this status report pursuant to the April 4, 2023, Second Order Regarding Service in the above-referenced matter, as follows:

- (1) The Division has attempted to serve Respondent by mail but has not obtained a confirmation of receipt;
- (2) The Division has advised the process server to discontinue its service efforts since it has been unable to locate Respondent; and
- (3) The Division has not uncovered new information regarding Respondent's whereabouts.

Dated: November 8, 2023 Respectfully submitted,

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CERTIFICATE OF SERVICE

I certify that on November 8, 2023, I caused the foregoing **DIVISION OF ENFORCMENT'S STATUS REPORT** to be served on Respondent as follows:

By UPS Overnight Delivery

Elbert L. Hardiman, Jr.

Robert F. Schroeder