

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6200

ADMINISTRATIVE PROCEEDING
File No. 3-21143

In the Matter of

ELBERT L. HARDIMAN,
JR.,

Respondent.


DIVISION OF ENFORCEMENT'S STATUS
REPORT

The Division of Enforcement ("Division") files this status report pursuant to the April 4, 2023, Second Order Regarding Service in the above-referenced matter, as follows:

- (1) We have attempted to serve Respondent by mail but have not obtained a confirmation of receipt;
- (2) We have advised the process server to discontinue service efforts given our inability to locate Respondent; and
- (3) We have not uncovered new information regarding Respondent's whereabouts.

Dated: September 12, 2023

Respectfully submitted,


M. Graham Loomis
U.S. Securities and Exchange Commission
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Counsel for the Division of Enforcement

CERTIFICATE OF SERVICE

I certify that on September 12, 2023, I caused the foregoing **DIVISION OF ENFORCMENT'S STATUS REPORT** to be served on Respondent as follows:

By UPS Overnight Delivery

Elbert L. Hardiman, Jr.



Robert F. Schroeder