

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6246

ADMINISTRATIVE PROCEEDING
File No. 3-21121

In the Matter of

RON K. HARRISON

Respondent.

**DIVISION OF ENFORCEMENT'S SIXTH
STATUS REPORT REGARDING SERVICE**

Pursuant to the February 23, 2023 Order in this matter, the Division of Enforcement of the Securities and Exchange Commission (“Division”) submits this fifth status report regarding service on Ron K. Harrison (“Respondent” or “Harrison”).

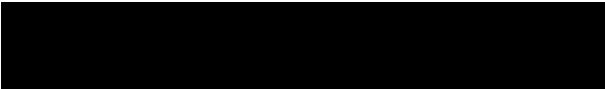
This administrative action against Harrison pursuant to Section 203(f) of Investment Advisers Act of 1940 was instituted on September 21, 2022. The Secretary’s Office served the Order Instituting Proceedings (“OIP”) by U.S. Postal Service Certified Mail to Harrison’s last known address at [REDACTED] on September 21, 2022. The Secretary’s Office did not provide us with a copy of the Certified Mail receipt for the mailing to Harrison. *See* Declaration of Kathryn C. Wanner (“Wanner Decl.”), ¶2. On March 6, 2023, we reached out to the Secretary’s Office, which confirmed they had mailed the OIP on September 21, 2022, and that they had not received a return receipt for the mailing, but would “look through our returned mail.” *See* Wanner Decl. ¶3, Ex. A. On April 4, 2023, we again

reached out to the Secretary's Office which confirmed that they still had not received a return receipt for the mailing. *Id.* ¶4, Ex. B. On May 31, 2023, we again reached out to the Secretary's Office which again confirmed that they still had not received a return receipt for the mailing. *Id.* ¶4, Ex. C. On April 21, 2023, counsel for the Division spoke on the phone with Irina Parfyonova, who was formerly Harrison's co-habiting girlfriend at the Mercantile Way address. *Id.* ¶ 5. On this phone call Ms. Parfyonova indicated that Mr. Harrison no longer lived with her at the Mercantile Way address but stated she did not know his new address but that he was having serious health issues. *Id.* Ms. Parfyonova was unable to provide any further information as to his whereabouts. *Id.* Subsequently, we have reached out to Ms. Parfyonova again to see if she could provide any further information as to his whereabouts, but Ms. Parfyonova has not responded. *Id.* at 6.

Counsel has continued to use internal methods to seek to confirm Harrison's address, including (1) running updated CLEAR reports on Harrison which do not show any new addresses and (2) running regular internet searches for information pertaining to his whereabouts. *Id.* ¶ 7. Counsel will continue these methods to attempt to confirm Harrison's residence.

Dated: July 27, 2023

Respectfully submitted,



Kathryn C. Wanner
Division of Enforcement
Los Angeles Regional Office
Securities and Exchange Commission
444 S. Flower St., Suite 900
Los Angeles, CA 90071
(323) 965-3954 (telephone)
Email: wannerk@sec.gov

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SERVICE LIST

Pursuant to Commission Rule of Practice 151 (17 C.F.R. §201.151), I certify that the attached:


DIVISION OF ENFORCEMENT'S SIXTH STATUS REPORT REGARDING SERVICE

was served on July 27, 2023, upon the following parties as follows:

BY eFAP

Vanessa Countryman, Secretary
Securities and Exchange Commission
100 F Street, N.E., Mail Stop 1090
Washington, DC 20549-1090

BY US Mail

Ron K. Harrison


Dated: July 27, 2023


Kathryn C. Wanner