

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21039

In the Matter of

LISA GORDON,

Respondent.

THIRD STATUS REPORT
REGARDING SERVICE ON LISA
GORDON

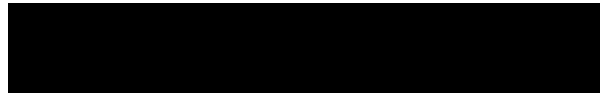
The Division of Enforcement (“Division”) submits this Third Status Report regarding service on Respondent Lisa Gordon (“Respondent”).

The instant proceedings were commenced on September 7, 2022. The Division has attempted to serve the Order Instituting Administrative Proceedings Pursuant to Sections 15(b) of the Securities Exchange Act of 1934 and Notice of Hearing (“OIP”) on Respondent by sending a copy of the OIP addressed to Respondent’s last-known addresses in accordance with Commission Rule of Practice 141(a)(2).

On December 1, 2022, the Division instructed its staff to send the OIP and the Litigated Party Letter to Respondent by certified U.S. mail with a return receipt to both the Woodland Hills, CA address and the Tarzana, CA address. *See Exhibit 1.*

On March 30, 2023, Division staff received the certified U.S. mail return receipt for the envelope addressed to the Tarzana address containing the OIP and Litigated Party Letter, indicating that it was received and delivered on December 3, 2022. *See Exhibit 2.*

Respectfully submitted,
DIVISION OF ENFORCEMENT
By its Attorneys:



Stephen T. Kam
Securities and Exchange Commission
444 S. Flower Street, Suite 900
Los Angeles, CA 90071

Dated: April 5, 2023

In the Matter of Lisa Gordon
Administrative Proceeding File No. 3-21039
SERVICE LIST

Pursuant to Commission Rule of Practice 151 (17 C.F.R. §201.151), I certify that the attached:

THIRD STATUS REPORT REGARDING SERVICE ON LISA GORDON

was served on April 5, 2023, upon the following parties as follows:

Vanessa Countryman, Secretary
Securities and Exchange Commission
100 F. Street, N.E., Mail Stop 1090
Washington, DC 20549-1090

(By eFAP only)

Lisa Gordon

[REDACTED]

financialcatalyst@gmail.com

(By email and U.S. mail)

Lisa Gordon

[REDACTED]

(By U.S. mail)

Dated: April 5, 2023

[REDACTED]

Stephen T. Kam