UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-20935

In the Matter of **Christopher W. Burns,** **Respondent.**	**DIVISION OF ENFORCEMENT'S STATUS REPORT REGARDING SERVICE**

In accordance with the Order Regarding Service dated May 22, 2023, the Division of Enforcement states as follows:

1. On July 25, 2022, the Commission issued an Order Instituting Administrative Proceedings Pursuant to Section 203(f) of the Investment Advisers Act of 1940 and Notice of Hearing ("OIP") against Respondent Christopher W. Burns ("Burns"). The OIP was issued based on a final judgment entered by default against Burns in the case captioned, *SEC v. Burns*, Civil Action No. 1:20-cv-4620-WMR (N.D. Ga.). The final judgment permanently enjoined Burns from future violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Sections 206(1) and 206(2) of the Investment Advisers Act of 1940.

2. In the district court action, the Commission was unable to serve Burns through traditional means because he was already a fugitive at the time the SEC filed suit. Specifically, on October 23, 2020, the Department of Justice charged Burns in a criminal complaint with violating 18 U.S.C. § 1341. *See United States v. Burns*, 1:20-mj-00906 (N.D. Ga.). A Magistrate Judge

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issued an arrest warrant for Burns the same day. The SEC ultimately obtained Court approval to serve Burns via publication, which was done before obtaining the default judgment against him.

3. On July 26, 2022, the OIP was sent via certified mail to Burns' last known address. Since that time, the Division of Enforcement has not received any response to the OIP from Burns.

4. Burns is still a fugitive. In addition, on April 11, 2023, a grand jury indicted Burns on sixteen counts of wire fraud, mail fraud, and money laundering. *See United States v. Burns*, 1:23-cr-112-UNA (N.D. Ga.). That case remains pending.

5. As of this filing, the criminal authorities have been unable to locate Burns and his whereabouts remain unknown.

6. The Division had been sending its monthly status reports to Burns' last known address until one of it status reports was returned as undeliverable. As a result, we do not have a means of serving Burns with this status report.

Dated: December 15, 2025

Respectfully submitted,

[redacted]

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